

## SCHEDULE

Regulations 3 and 4

## Prescribed offences

<i>Provision creating offence</i>	<i>General nature of the offence</i>	<i>Amount of penalty</i>
Section 47 of the Act	Making false statements	An amount corresponding to two and a half times level 4 on the standard scale <sup>(1)</sup>
Section 48 of the Act	Failure to submit an annual return	An amount corresponding to level 4 on the standard scale
Section 49 of the Act	Failure to provide information	An amount corresponding to level 4 on the standard scale
Regulation 7(3) and (5) of the Service Providers Regulations	Contravention of, or failure to comply with, requirements in relation to the statement of purpose	An amount corresponding to two and a half times level 4 on the standard scale
Regulation 11(3) of the Service Providers Regulations	Contravention of, or failure to comply with, requirements in relation to the financial position of the service	An amount corresponding to level 4 on the standard scale
Regulation 12(1) and (2) of the Service Providers Regulations	Contravention of, or failure to comply with, requirements to have in place specified policies and procedures	An amount corresponding to level 4 on the standard scale
Regulation 19(1), (2) and (3) of the Service Providers Regulations	Contravention of, or failure to comply with, requirements in relation to the provision of information about the service	An amount corresponding to two times level 4 on the standard scale
Regulation 20(1) of the Service Providers Regulations	Contravention of, or failure to comply with, requirements in relation to the provision of a service agreement	An amount corresponding to level 4 on the standard scale
Regulation 35(1) of the Service Providers Regulations	Contravention of, or failure to comply with, requirements in relation to the fitness of staff	An amount corresponding to two and a half times level 4 on the standard scale
Regulation 38(1) of the Service Providers Regulations	Contravention of, or failure to comply with, requirements in relation to the provision of information for staff	An amount corresponding to two times level 4 on the standard scale
Regulation 59(1), (2) and (3) of the Service Providers Regulations	Contravention of, or failure to comply with, requirements in relation to the making and maintenance of records	An amount corresponding to two times level 4 on the standard scale
Regulation 60(1), (2) and (4) of the Service Providers Regulations	Contravention of, or failure to comply with, requirements in relation to notifications to the service regulator	An amount corresponding to two times level 4 on the standard scale
Regulation 67(1) of the Service Providers Regulations	Contravention of, or failure to comply with, requirements in relation to the	An amount corresponding to two and a half times level 4 on the standard scale

(1) See section 37 of the Criminal Justice Act 1982 (c. 48) (“the 1982 Act”); at the date of the coming into force of these Regulations, level 4 on the standard scale is set at £2,500 (this figure may be increased by virtue of an amendment to the 1982 Act).

**Status:** This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

<i>Provision offence</i>	<i>creating</i>	<i>General nature of the offence</i>	<i>Amount of penalty</i>
		duty of a responsible individual to appoint a manager	
Regulation 74(1) and (2) of the Service Providers Regulations	(2)	Contravention of, or failure to comply with, requirements in relation to the duty of a responsible individual to report the adequacy of resources	An amount corresponding to two times level 4 on the standard scale
Regulation 75(1) of the Service Providers Regulations		Contravention of, or failure to comply with, requirements in relation to the making by a responsible individual of other reports to the service provider	An amount corresponding to two times level 4 on the standard scale
Regulation 80(4) of the Service Providers Regulations		Contravention of, or failure to comply with, requirements in relation to the preparation by a responsible individual of a report in respect of a quality of care review	An amount corresponding to two times level 4 on the standard scale
Regulation 81(1) of the Service Providers Regulations		Contravention of, or failure to comply with, requirements in relation to the preparation by a responsible individual of a statement of compliance with the requirements as to standards of care and support	An amount corresponding to two times level 4 on the standard scale
Regulation 84(1) and (3) of the Service Providers Regulations	(3)	Contravention of, or failure to comply with requirements in relation to the responsible individual's duty to make notifications to the service regulator	An amount corresponding to two times level 4 on the standard scale
(1)		<i>See section 37 of the Criminal Justice Act 1982 (c. 48) ("the 1982 Act"); at the date of the coming into force of these Regulations, level 4 on the standard scale is set at £2,500 (this figure may be increased by virtue of an amendment to the 1982 Act).</i>	