



2017 Rhif 201 (Cy. 56)

IECHYD Y CYHOEDD,
CYMRU

Rheoliadau Cofrestru Deintyddiaeth
Breifat (Cymru) 2017

NODYN ESBONIADOL

(*Nid yw'r nodyn hwn yn rhan o'r Rheoliadau*)

Mae'r Rheoliadau hyn wedi eu gwneud o dan Ddeddf Safonau Gofal 2000 ("y Ddeddf) ac maent yn gymwys i Gymru. Mae Rhan 2 o'r Ddeddf yn darparu ar gyfer cofrestru ac arolygu sefydliadau ac asiantaethau gan yr awdurdod cofrestru (Gweinidogion Cymru). Mae Rhan 2 o'r Ddeddf hefyd yn darparu pwerau i Weinidogion Cymru i wneud rheoliadau sy'n llywodraethu'r ffordd y mae sefydliadau ac asiantaethau yn cael eu rhedeg.

Mae adran 42 o'r Ddeddf yn darparu, drwy reoliadau, ar gyfer cymhwys Rhan 2 o'r Ddeddf (gydag unrhyw addasiadau a bennir) mewn cysylltiad â phersonau sy'n cynnal neu'n rheoli'r ddarpariaeth o wasanaethau nas pennir yn y Ddeddf honno.

Gwnaed Rheoliadau Deddf Safonau Gofal 2000 (Estyn Cymhwysiad Rhan 2 i Bractisau Deintyddol Preifat) (Cymru) 2017 o dan y pŵer yn adran 42 o'r Ddeddf i ddarparu bod y pwerau i wneud rheoliadau yn Rhan 2 o'r Ddeddf yn gymwys, gyda'r addasiadau a nodir yn y Rheoliadau hynny, mewn cysylltiad â phractisau deintyddol preifat.

Mae'r Rheoliadau hyn yn gwneud darpariaeth mewn perthynas â chofrestru practisau deintyddol preifat.

O dan Ran 2 o'r Ddeddf, mae gan Weinidogion Cymru y swyddogaeth o ganiatâu neu wrthod ceisiadau i gofrestru o dan y Ddeddf. Cânt ganiatâu cofrestru yn ddarostyngedig i amodau a chânt amrywio neu ddileu unrhyw amod neu osod amod ychwanegol. Maent hefyd yn meddu ar y pŵer i ganslo cofrestriad.

Mae rheoliadau 4 i 15 yn gwneud darpariaeth mewn perthynas â chofrestru o dan Ran 2 o'r Ddeddf.

2017 No. 201 (W. 56)

PUBLIC HEALTH, WALES

The Registration of Private
Dentistry (Wales) Regulations 2017

EXPLANATORY NOTE

(*This note is not part of the Regulations*)

These Regulations are made under the Care Standards Act 2000 ("the Act") and apply to Wales. Part 2 of the Act provides for the registration and inspection of establishments and agencies by the registration authority (the Welsh Ministers). Part 2 of the Act also provides powers for the Welsh Ministers to make regulations governing the conduct of establishments and agencies.

Section 42 of the Act makes provision, through regulations, for the application of Part 2 of the Act (with such modifications as may be specified) in respect of persons who carry on or manage the provision of services that are not specified in that Act.

The Care Standards Act 2000 (Extension of the Application of Part 2 to Private Dental Practices) (Wales) Regulations 2017 have been made under the power in section 42 of the Act to provide that the regulation making powers in Part 2 of the Act apply, with the modifications set out in those Regulations, in respect of private dental practices.

These Regulations make provision in relation to the registration of private dental practices.

Under Part 2 of the Act, the Welsh Ministers have the function of granting or refusing applications for registration under the Act. They may grant registration subject to conditions and may vary or remove any condition or impose an additional condition. They also have power to cancel registration.

Regulations 4 to 15 make provision in relation to registration under Part 2 of the Act.

Mae rheoliadau 4 a 5 o'r Rheoliadau hyn, ac Atodlenni 1 i 3 iddynt, yn pennu'r wybodaeth a'r dogfennau sydd i gael eu darparu gan geisydd sy'n gwneud cais i gofrestru.

Mae rheoliad 6 yn ei gwneud yn ofynnol i'r person cyfrifol fynd i gyfweliad. Mae rheoliadau 7 ac 8 yn ei gwneud yn ofynnol i'r ceisydd roi hysbysiad o newidiadau penodol sy'n digwydd, neu fanylion staff a gymerir ymlaen, ar ôl gwneud y cais i gofrestru a chyn iddo gael ei benderfynu.

Mae rheoliad 9 yn pennu'r manylion y mae unrhyw dystysgrif gofrestru i'w cynnwys.

Mae rheoliad 10 yn ei gwneud yn ofynnol i berson sydd wedi ei gofrestru mewn cysylltiad â sefydliad ddychwelyd y dystysgrif i'r awdurdod cofrestru os yw'r cofrestriad yn cael ei ganslo. Mae methu â chydymffurfio â'r gofyniad hwnnw yn drosedd o dan reoliad 11.

Mae rheoliad 12 yn gwneud darpariaeth mewn cysylltiad â chais gan y person cofrestredig i wneud cais i amrywio neu ddileu amod mewn perthynas â'i cofrestriad.

Mae rheoliad 13 yn ei gwneud yn ofynnol i'r person cofrestredig roi gwybod i'r awdurdod cofrestru am yr amgylchiadau perthnasol os yw'n ymddangos bod y practis deintyddol preifat yn debygol o beidio â bod yn hyfyw yn ariannol.

Mae rheoliad 14 yn pennu sail y caiff awdurdod cofrestru ganslo cofrestriad person arni. Mae seiliau eraill y caniateir canslo cofrestriad arnynt wedi eu pennu gan adran 14 o'r Ddeddf.

Mae rheoliad 15 yn darparu i'r person cofrestredig wneud cais i'w cofrestriad gael ei ganslo.

Ystyriwyd Cod Ymarfer Gweinidogion Cymru ar gynnal Asesiadau Effaith Rheoleiddiol mewn perthynas â'r Rheoliadau hyn. O ganlyniad, lluniwyd asesiad effaith rheoleiddiol o'r costau a'r manteision sy'n debygol o ddeillio o gydymffurfio â'r Rheoliadau hyn. Gellir cael copi oddi wrth: yr Adran Iechyd a Gwasanaethau Cymdeithasol, Llywodraeth Cymru, Parc Cathays, Caerdydd, CF10 3NQ.

Regulations 4 and 5 of, and Schedules 1 to 3 to, these Regulations specify the information and documents that are to be provided by an applicant for registration.

Regulation 6 requires the responsible person to attend an interview. Regulations 7 and 8 require the applicant to give notice of certain changes that take place, or details of staff engaged, after the application for registration is made and before it is determined.

Regulation 9 specifies the particulars that any certificate of registration is to contain.

Regulation 10 requires a person who is registered in respect of an establishment to return the certificate to the registration authority if the registration is cancelled. Failure to comply with that requirement is an offence under regulation 11.

Regulation 12 makes provision in respect of an application by the registered person to apply for the variation or removal of a condition in relation to his or her registration.

Regulation 13 requires the registered person to report the relevant circumstances to the registration authority if it appears that the private dental practice is likely to cease to be financially viable.

Regulation 14 specifies a ground on which the registration authority may cancel a person's registration. Other grounds on which registration may be cancelled are specified by section 14 of the Act.

Regulation 15 provides for the registered person to apply for his or her registration to be cancelled.

The Welsh Ministers' Code of Practice on the carrying out of Regulatory Impact Assessments was considered in relation to these Regulations. As a result, a regulatory impact assessment has been prepared as to the likely costs and benefits of complying with these Regulations. A copy can be obtained from the Department of Health and Social Services, Welsh Government, Cathays Park, Cardiff, CF10 3NQ.

fyddai'n ofynnol o dan Ddeddf Cydraddoldeb 2010(1);

ystyr "ceisydd" ("applicant") yw'r person sy'n ceisio cael ei gofrestru;

ystyr "cofrestriad" a "cofrestru" ("registration") yw cofrestriad o dan Ran 2 o'r Ddeddf;

mae i "cwmni daliannol" yr ystyr a roddir i "holding company" gan adran 1159 o Ddeddf Cwmniau 2006(2);

ystyr "darparwr cofrestredig" ("registered provider") yw person sydd wedi ei gofrestru o dan Ran 2 o'r Ddeddf fel y person sy'n cynnal practis deintyddol preifat;

ystyr "datganiad o ddiben" ("statement of purpose") yw'r datganiad ysgrifenedig a lunnir yn unol â rheoliad 5(1) o Reoliadau Deintyddiaeth Breifat (Cymru) 2017;

ystyr "deintydd" ("dentist") yw person sydd wedi ei gofrestru yn y gofrestr o ddeintyddion a gedwir yn unol ag adran 14 o Ddeddf Deintyddion 1984(3);

ystyr "y Ddeddf" ("the Act") yw Deddf Safonau Gofal 2000 ac, mewn cysylltiad â Rhan 2 o'r Ddeddf honno, y Rhan honno fel y'i cymhwysir gydag addasiadau i bractisau deintyddol preifat gan Reoliadau Deddf Safonau Gofal 2000 (Estyn Cymhwysiad Rhan 2 i Bractisau Deintyddol Preifat) (Cymru) 2017 a chan reoliad 39 o Reoliadau Deintyddiaeth Breifat (Cymru) 2017;

ystyr "gwasanaethau deintyddol" ("dental services") yw gofal a thriniaeth ddeintyddol a ddarperir gan ddeintydd;

ystyr "gwasanaethau proffesiynol perthnasol" ("relevant professional services") yw'r ddarpariaeth o wasanaethau proffesiynol yn unol â chwmpas ymarfer llawn proffesiynol gofal deintyddol, ac eithrio yn unol â phresgripsiwn gan ddeintydd ond nid yw'n cynnwys—

(a) darparu gwasanaethau gwynnu dannedd gan hylenydd deintyddol neu therapydd deintyddol, a

(b) darparu a chynnal a chadw dannedd gosod i gleifion â dannedd(4) gan dechnegydd deintyddol clinigol;

ystyr "hylenydd deintyddol" ("dental hygienist"), "therapydd deintyddol" ("dental therapist") a "technegydd deintyddol clinigol" ("clinical dental

private dental practices by the Care Standards Act 2000 (Extension of the Application of Part 2 to Private Dental Practices) (Wales) Regulations 2017 and by regulation 39 of the Private Dentistry (Wales) Regulations 2017;

"the 2017 Regulations" ("Rheoliadau 2017") means the Private Dentistry (Wales) Regulations 2017(1);

"applicant" ("ceisydd") means the person seeking to be registered;

"appropriate office of the registration authority" ("swyddfa briodol yr awdurdod cofrestru") in relation to a private dental practice—

(a) if an office has been specified under regulation 3(2) of the Private Dentistry (Wales) Regulations 2017, that office;

(b) in any other case, any office of the registration authority;

"dental care professional" ("proffesiynolyn gofal deintyddol") means—

(a) a dental hygienist;

(b) a dental therapist; or

(c) a clinical dental technician;

"dental hygienist" ("hylenydd deintyddol"), "dental therapist" ("therapydd deintyddol") and "clinical dental technician" ("technegydd deintyddol clinigol") mean persons registered as such with the General Dental Council in the dental care professionals register established under section 36B of the Dentists Act 1984(2);

"dental performers list" ("rhestr performwyr deintyddol") means the list prepared by a Local Health Board or the National Health Service Commissioning Board and published pursuant to regulation 3(1)(b) of the National Health Service (Performers Lists) (Wales) Regulations 2004(3) or regulations under section 106 of the National Health Service Act 2006(4) as appropriate;

"dental services" ("gwasanaethau deintyddol") means dental care and treatment provided by a dentist;

"dentist" ("deintydd") means a person registered in the dentist's register kept in accordance with section 14 of the Dentists Act 1984;

"exposure-prone procedures" ("triniaethau a all arwain at gysylltiad") for the purposes of these Regulations refers to invasive procedures where

(1) 2010 p. 15.

(2) 2006 p. 46.

(3) 1984 p. 24.

(4) Dim ond i gleifion diddannedd y caiff technegydd deintyddol clinigol ddarparu'r ystod lawn o wasanaethau drwy drefniadau mynediad uniongyrchol.

(1) S.I. 2017/202 (W.57).

(2) 1984 c. 24.

(3) S.I. 2004/1020 (W. 117).

(4) 2006 c. 41.

technician") yw personau sydd wedi eu cofrestru felly â'r Cyngor Deintyddol Cyffredinol yn y gofrestr o broffesiynolion gofal deintyddol a sefydlwyd o dan adran 36B o Ddeddf Deintyddion 1984;

mae i "is-gwmni" yr ystyr a roddir i "subsidiary" gan adran 1159 o Ddeddf Cwmnïau 2006;

ystyr "person cofrestredig" ("*registered person*") yw person sy'n ddarparwr cofrestredig neu'n rheolwr cofrestredig practis deintyddol preifat;

ystyr "person cyfrifol" ("*responsible person*") yw—

(a) pan fo'r ceisydd yn unigolyn—

(i) y ceisydd; ac

(ii) os yw'r ceisydd yn cynnal y practis deintyddol preifat mewn partneriaeth ag eraill, neu'n bwriadu gwneud hynny, enw pob partner i'r ceisydd;

(b) pan fo'r ceisydd yn bartneriaeth, pob aelod o'r bartneriaeth;

(c) pan fo'r ceisydd yn sefydliad, yr unigolyn cyfrifol;

ystyr "practis deintyddol preifat" ("*private dental practice*")⁽¹⁾ yw ymgynheriad sy'n darparu neu'n cynnwys darparu—

(a) gwasanaethau deintyddol preifat gan ddeintydd, neu

(b) gwasanaethau proffesiynol perthnasol gan broffesiynolyn gofal deintyddol, ac eithrio at ddibenion Deddf y Gwasanaeth Iechyd Gwladol (Cymru) 2006⁽²⁾;

ystyr "proffesiynolyn gofal deintyddol" ("*dental care professional*") yw—

(a) hylenydd deintyddol;

(b) therapydd deintyddol; neu

(c) technegydd deintyddol clinigol;

ystyr "Rheoliadau 2017" ("*the 2017 Regulations*") yw Rheoliadau Deintyddiaeth Breifat (Cymru) 2017⁽³⁾;

ystyr "rheolwr cofrestredig" ("*registered manager*") yw person sydd wedi ei gofrestru o dan Ran 2 o'r Ddeddf fel rheolwr practis deintyddol preifat;

ystyr "rhestr perfformwyr deintyddol" ("*dental performers list*") yw'r rhestr a luniwyd gan Fwrdd Iechyd Lleol neu Fwrdd Comisiynu'r Gwasanaeth

there is a risk that injury to the dentist or dental care professional may result in the exposure of a patient's open tissue to the blood of the dentist or dental care professional;

"holding company" ("*cwmni daliannol*") has the meaning given to it by section 1159 of the Companies Act 2006⁽¹⁾;

"insurance" ("*yswiriant*") means—

(a) a contract of insurance providing cover for liabilities which may be incurred in carrying out work as a dentist or dental care professional, or

(b) an arrangement made for the purposes of indemnifying a person against such liabilities;

"organisation" ("*sefydliad*") means a body corporate or any unincorporated association other than a partnership;

"private dental practice" ("*practis deintyddol preifat*")⁽²⁾ means an undertaking which consists or includes the provision of—

(a) private dental services by a dentist, or

(b) relevant professional services by a dental care professional, otherwise than for the purposes of the National Health Service (Wales) Act 2006⁽³⁾;

"professional registration number" ("*rhif cofrestru proffesiynol*") means the number against the person's name in the dentists register kept in accordance with section 14 of the Dentists Act 1984;

"reasonable adjustments" ("*addasiadau rhesymol*") means such reasonable adjustments as would be required under the Equality Act 2010⁽⁴⁾;

"registered manager" ("*rheolwr cofrestredig*") means a person who is registered under Part 2 of the Act as the manager of a private dental practice;

"registered person" ("*person cofrestredig*") means a person who is the registered provider or the registered manager of a private dental practice;

"registered provider" ("*darparwr cofrestredig*") means a person who is registered under Part 2 of the Act as the person carrying on a private dental practice;

"registration" ("*cofrestriad*", "*cofrestru*") means registration under Part 2 of the Act;

(1) *Gweler* rheoliad 4 o Reoliadau Deintyddiaeth Breifat (Cymru) 2017 am ymgynheriadau nad ydynt yn bractisau deintyddol preifat.

(2) 2006 p. 42.

(3) O.S. 2017/202 (W.57).

(4) 2006 c. 46.

(2) See regulation 4 of the Private Dentistry (Wales) Regulations 2017 for undertakings which are not private dental practices.

(3) 2006 c. 42.

(4) 2010 c. 15.

Iechyd Gwladol ac a gyhoeddwyd yn unol â rheoliad 3(1)(b) o Reoliadau'r Gwasanaeth Iechyd Gwladol (Rhestri Perfformwyr) (Cymru) 2004(1) neu reoliadau o dan adran 106 o Ddeddf y Gwasanaeth Iechyd Gwladol 2006(2) fel y bo'n briodol;

ystyr "rhif cofrestru proffesiynol" ("professional registration number") yw'r rhif gyferbyn ag enw'r person yn y gofrestr o ddeintyddion a gedwir yn unol ag adran 14 o Ddeddf Deintyddion 1984;

ystyr "sefydliad" ("organisation") yw corff corfforaethol neu unrhyw gymdeithas anghorfforedig ac eithrio partneriaeth;

ystyr "swyddfa briodol yr awdurdod cofrestru" ("appropriate office of the registration authority") mewn perthynas â phractis deintyddol preifat yw—

- (a) os yw swyddfa wedi ei phennu o dan reoliad 3(2) o Reoliadau Deintyddiaeth Breifat (Cymru) 2017, y swyddfa honno;
- (b) mewn unrhyw achos arall, unrhyw swyddfa'r awdurdod cofrestru;

mae "triniaethau a all arwain at gysylltiad" ("exposure-prone procedures"), at ddibenion y Rheoliadau hyn, yn cyfeirio at driniaethau mewnwrthiol pan fo risg y gall anaf i'r deintydd neu'r proffesiynolyn gofal deintyddol arwain at gysylltiad rhwng meinwe agored claf a gwaed y deintydd neu'r proffesiynolyn gofal deintyddol;

ystyr "unigolyn cyfrifol" ("responsible individual") yw unigolyn sy'n gyfarwyddwr, rheolwr, ysgrifennydd, neu swyddog arall i sefydliad ac sy'n gyfrifol am oruchwylion'r gwaith o reoli practis deintyddol preifat;

ystyr "yswiriant" ("insurance") yw—

- (a) contract yswiriant sy'n darparu sicrwydd o ran atebolwyddau a all godi wrth gyflawni gwaith fel deintydd neu broffesiynolyn gofal deintyddol, neu
- (b) trefniant a wneir at ddibenion indemnio person rhag atebolwyddau o'r fath.

(2) Yn y Rheoliadau hyn, mae cyfeiriadau at bractis deintyddol preifat i gael eu dehongli fel cyfeiriadau—

- (a) yn achos ceisydd, at y practis deintyddol preifat y mae'r ceisydd yn ceisio cael ei gofrestru mewn cysylltiad ag ef;
- (b) yn achos person cofrestredig, at y practis deintyddol preifat y mae'r ceisydd wedi ei gofrestru mewn cysylltiad ag ef.

"relevant professional services" ("gwasanaethau proffesiynol perthnasol") means the provision of professional services in accordance with the full scope of practice of a dental care professional, otherwise than in accordance with prescription from a dentist but does not include—

- (a) the provision of tooth whitening services by a dental hygienist or a dental therapist, and
- (b) the provision and maintenance of dentures for dentate patients(1) by a clinical dental technician;

"responsible individual" ("unigolyn cyfrifol") means an individual who is a director, manager, secretary, or other officer of an organisation and is responsible for supervising the management of a private dental practice;

"responsible person" ("person cyfrifol") means—

- (a) where the applicant is an individual—
 - (i) the applicant; and
 - (ii) if the applicant carries on or intends to carry on the private dental practice in partnership with others, each partner of the applicant;
- (b) where the applicant is a partnership, each member of the partnership;
- (c) where the applicant is an organisation, the responsible individual;

"statement of purpose" ("datganiad o ddiben") means the written statement compiled in accordance with regulation 5(1) of the Private Dentistry (Wales) Regulations 2017;

"subsidiary" ("is-gwmni") has the meaning given to it by section 1159 of the Companies Act 2006.

(2) In these Regulations, references to a private dental practice, are to be construed as references—

- (a) in the case of an applicant, to the private dental practice in respect of which the applicant is seeking to be registered;
- (b) in the case of a registered person, the private dental practice in respect of which the applicant is registered.

(1) O.S. 2004/1020 (Cy. 117).

(2) 2006 p. 41.

(1) A clinical dental technician may only provide the full range of services via direct access arrangements for edentulous patients.

RHAN 2

Ceisiadau i Gofrestru o dan Ran 2 o'r Ddeddf

Gwybodaeth a dogfennau sydd i gael eu darparu gan geisydd

4.—(1) Rhaid i gais i gofrestru—

- (a) bod yn ysgrifenedig ar ffurf a gymeradwyir gan yr awdurdod cofrestru;
- (b) cael ei anfon neu ei ddanfon i swyddfa briodol yr awdurdod cofrestru;
- (c) cael ffotograff diweddar o'r person cyfrifol gyda'r cais, a rhaid i'r ffotograff ddangos tebygrwydd gwirioneddol ohono; a
- (d) rhoi'r wybodaeth y mae'n ofynnol i'r ceisydd ei darparu yn unol â pharagraffau (2) i (4).

(2) Rhaid i berson sy'n ceisio cael ei gofrestru fel person sy'n cynnal practis deintyddol preifat ddarparu i'r awdurdod cofrestru—

- (a) gwybodaeth lawn mewn cysylltiad â materion a restrir yn Rhannau 1 a 2 o Atodlen 1; a
- (b) y dogfennau a restrir yn Atodlen 2.

(3) Rhaid i berson sy'n ceisio cael ei gofrestru fel rheolwr mewn cysylltiad â phractis deintyddol preifat ddarparu i'r awdurdod cofrestru—

- (a) gwybodaeth lawn mewn cysylltiad â phob un o'r materion a restrir yn Rhan 1 o Atodlen 3; a
- (b) y dogfennau a restrir yn Rhan 2 o'r Atodlen honno.

(4) Os yw'r awdurdod cofrestru yn gofyn am hynny, rhaid i'r ceisydd ddarparu gwybodaeth lawn i'r awdurdod cofrestru mewn cysylltiad â'r materion a restrir yn Rhan 3 o Atodlen 1 mewn perthynas ag unrhyw berson a bennir at y diben hwn gan yr awdurdod cofrestru, sy'n gweithio yn y practis deintyddol preifat, neu sy'n bwriadu gweithio yno.

Euogfarnau

5. Pan fo'r awdurdod cofrestru yn gofyn i'r person cyfrifol am fanylion unrhyw euogfarnau troseddol sydd wedi eu disbyddu o fewn ystyr "spent" yn adran 1 o Ddeddf Adsefydlu Troseddwyr 1974(1) ac yn rhoi gwybod iddo ar yr adeg y gofynnir y cwestiwn bod euogfarnau sydd wedi eu disbyddu i gael eu datgelu yn rhinwedd Gorchymyn Deddf Adsefydlu Troseddwyr 1974 (Eithriadau) 1975(2) (ac eithrio pan fônt yn euogfarnau gwarchodedig fel y disgrifir "protected

PART 2

Applications for Registration Under Part 2 of the Act

Information and documents to be provided by an applicant

4.—(1) An application for registration must—

- (a) be in writing on a form approved by the registration authority;
- (b) be sent or delivered to the appropriate office of the registration authority;
- (c) be accompanied by a recent photograph of the responsible person, of whom the photograph must be a true likeness; and
- (d) give the information that the applicant is required to provide in accordance with paragraphs (2) to (4).

(2) A person who is seeking to be registered as a person who carries on a private dental practice must provide to the registration authority—

- (a) full information in respect of matters listed in Parts 1 and 2 of Schedule 1; and
- (b) the documents listed in Schedule 2.

(3) A person who is seeking to be registered as a manager in respect of a private dental practice must provide to the registration authority—

- (a) full information in respect of each of the matters listed in Part 1 of Schedule 3; and
- (b) the documents listed in Part 2 of that Schedule.

(4) If the registration authority so requests, the applicant must provide full information to the registration authority in respect of the matters listed in Part 3 of Schedule 1 in relation to any person specified for this purpose by the registration authority, who works, or intends to work, at the private dental practice.

Convictions

5. Where the registration authority asks the responsible person for details of any criminal convictions which are spent within the meaning of section 1 of the Rehabilitation of Offenders Act 1974(1) and informs him or her at the time the question is asked that by virtue of the Rehabilitation of Offenders Act 1974 (Exceptions) Order 1975(2) spent convictions (except where they are protected convictions as described in article 2A of that Order)

(1) 1974 p. 53.

(2) O.S. 1975/1023.

(1) 1974 c. 53.

(2) S.I. 1975/1023.

conviction” yn erthygl 2A o'r Gorchymyn hwnnw), rhaid i'r person cyfrifol gyflenwi manylion ysgrifenedig unrhyw euogfarnau sydd wedi eu disbyddu sydd ganddo i'r awdurdod cofrestru.

Cyfweliad

6. Os yw'r awdurdod cofrestru yn gofyn am hynny, rhaid i'r person cyfrifol fynd i gyfweliad at ddiben galluogi'r awdurdod cofrestru i benderfynu a yw'r ceisydd yn addas i gynnal neu reoli'r practis deintyddol preifat y mae'r ceisydd yn ceisio cael ei gofrestru mewn cysylltiad ag ef(1).

Hysbysiad o newidiadau

7. Rhaid i'r ceisydd roi hysbysiad ysgrifenedig i'r awdurdod cofrestru o unrhyw newid a bennir isod sy'n digwydd ar ôl gwneud y cais i gofrestru a chyn iddo gael ei benderfynu—

- (a) unrhyw newid i enw neu gyfeiriad y ceisydd neu unrhyw berson cyfrifol;
- (b) pan fo'r ceisydd yn sefydliad, unrhyw newid i gyfarwyddwr, rheolwr, ysgrifennydd neu berson arall sy'n gyfrifol am oruchwylio'r gwaith o reoli'r sefydliad.

Gwybodaeth am staff a gymerir ymlaen ar ôl gwneud cais

8. Pan fo'r ceisydd yn gwneud cais i gofrestru fel person sy'n cynnal practis deintyddol preifat, a chyn i'r cais gael ei benderfynu, yn cymryd ymlaen berson i weithio yn y practis deintyddol preifat, rhaid i'r ceisydd, mewn cysylltiad â phob person a gymerir ymlaen felly—

- (a) cael yr wybodaeth a bennir ym mharagraffau 18 (gwybodaeth am staff) a 19 (gwybodaeth bellach am staff) o Atodlen 1 a'r dogfennau a bennir ym mharagraff 9 (tystysgrifau cofnod troseddol) o Atodlen 2, mewn perthynas â'r swydd y mae'r person i'w gwneud;
- (b) darparu i'r awdurdod cofrestru, os yw'n gofyn amdanynt, unrhyw un neu ragor o'r dogfennau neu'r wybodaeth y mae'n ofynnol i'r ceisydd eu cael o dan baragraff (a).

are to be disclosed, the responsible person must supply in writing to the registration authority details of any spent convictions that the responsible person has.

Interview

6. If the registration authority so requests, the responsible person must attend an interview for the purpose of enabling the registration authority to determine whether the applicant is fit to carry on or manage the private dental practice in respect of which the applicant seeks to be registered(1).

Notice of changes

7. The applicant must give notice in writing to the registration authority of any change specified below which occurs after the application for registration occurs and before it is determined—

- (a) any change of the name or address of the applicant or any responsible person;
- (b) where the applicant is an organisation, any change of director, manager, secretary or other person responsible for supervising the management of the organisation.

Information as to staff engaged after application made

8. Where the applicant applies for registration as a person who carries on a private dental practice, and before the application is determined, engages a person to work at the private dental practice, the applicant must, in respect of each person so engaged—

- (a) obtain the information specified in paragraphs 18 (information about staff) and 19 (further information about staff) of Schedule 1 and the documents specified in paragraph 9 (criminal record certificates) of Schedule 2, in relation to the position in which the person is to work; and
- (b) provide to the registration authority, if it so requests, any of the information or documents which the applicant is required to obtain under paragraph (a).

(1) Am y gofynion o ran addasrwydd, *gweler* rheoliadau 9 ac 11 o Reoliadau Deintyddiaeth Breifat (Cymru) 2017 (O.S. 2017/202 (W.57)).

(1) For the requirements as to fitness see regulations 9 and 11 of the Private Dentistry (Wales) Regulations 2017 (S.I. 2017/202 (W.57)).

RHAN 3

Tystysgrifau Cofrestru

PART 3

Certificates of Registration

Cynnwys tystysgrif

9. Rhaid i dystysgrif gofrestru gynnwys y manylion a ganlyn—

- (a) enw, cyfeiriad a rhif ffôn swyddfa briodol yr awdurdod cofrestru;
- (b) enw a chyfeiriad y darparwr cofrestredig;
- (c) pan fo'r person yn sefydliad, enw'r unigolyn cyfrifol;
- (d) enw'r rheolwr cofrestredig;
- (e) y disgrifiad o'r practis deintyddol preifat;
- (f) pan fo'r cofrestriad yn ddarostyngedig i amodau, manylion yr amod;
- (g) y dyddiad cofrestru;
- (h) datganiad bod y cofrestriad yn agored i gael ei ganslo gan yr awdurdod cofrestru os nad yw practis deintyddol preifat yn cael ei gynnal yn unol â'r gofynion perthnasol ac ag unrhyw amodau;
- (i) datganiad mai dim ond â'r person y'i dyroddir iddo gan yr awdurdod cofrestru y mae'r dystysgrif yn ymwneud ac na ellir ei throsglwyddo i berson arall.

Dychwelyd tystysgrif

10. Os yw cofrestriad person mewn cysylltiad â phractis deintyddol preifat yn cael ei ganslo, rhaid i'r person hwnnw, heb fod yn hwyrach na'r diwrnod y mae'r penderfyniad neu'r gorchymyn sy'n canslo'r cofrestriad yn cymryd effaith, ddychwelyd y dystysgrif gofrestru i'r awdurdod cofrestru—

- (a) drwy ei danfon i swyddfa briodol yr awdurdod cofrestru; neu
- (b) drwy ei hanfon i swyddfa briodol yr awdurdod cofrestru drwy'r post cofrestredig neu ddanfoniad cofnodedig.

Trosedd

11. Mae methu â chydymffurfio â rheoliad 10 yn drosedd.

Contents of certificate

9. A certificate of registration must contain the following particulars—

- (a) the name, address and telephone number of the appropriate office of the registration authority;
- (b) the name and address of the registered provider;
- (c) where the person is an organisation, the name of the responsible individual;
- (d) the name of the registered manager;
- (e) the description of the private dental practice;
- (f) where the registration is subject to conditions, details of the condition;
- (g) the date of registration;
- (h) a statement that if a private dental practice is not carried on in accordance with the relevant requirements and any conditions, the registration is liable to be cancelled by the registration authority;
- (i) a statement that the certificate relates only to the person to whom it is issued by the registration authority and is not capable of being transferred to another person.

Return of certificate

10. If the registration of a person in respect of a private dental practice is cancelled, that person must, not later than the day on which the decision or order cancelling the registration takes effect, return the certificate of registration to the registration authority by—

- (a) delivering it to the appropriate office of the registration authority; or
- (b) sending it to the appropriate office of registration authority by registered post or recorded delivery.

Offence

11. A failure to comply with regulation 10 is an offence.

RHAN 4

Amodau ac Adroddiadau

Cais i amrywio neu ddileu amod

12.—(1) Yn y rheoliad hwn—

ystyr “cais” (“*application*”) yw cais gan y person cofrestredig o dan adran 15(1)(a) o’r Ddeddf i amrywio neu ddileu amod mewn perthynas â chofrestriad y person hwnnw; ac

ystyr “dyddiad effeithiol arfaethedig” (“*proposed effective date*”) yw’r dyddiad y mae’r person cofrestredig yn gofyn amdano fel y dyddiad y mae’r amrywiad neu’r dileu y gwneir cais amdano i gymryd effaith.

(2) Rhaid i gais—

- (a) bod yn ysgrifenedig ar ffurf a gymeradwyir gan yr awdurdod cofrestru;
- (b) cael ei anfon neu ei ddanfon i’r awdurdod cofrestru heb fod yn llai na chwe wythnos cyn y dyddiad effeithiol arfaethedig neu unrhyw gyfnod byrrach (os oes un) cyn y dyddiad hwnnw y cytunir arno â’r awdurdod cofrestru;
- (c) cael ei anfon gyda’r wybodaeth a bennir ym mharagraff (3); a
- (d) cael ei anfon gyda’r ffi a ragnodir yn Rheoliadau 2017.

(3) Mae’r wybodaeth a ganlyn wedi ei phennu—

- (a) y dyddiad effeithiol arfaethedig;
- (b) rhesymau’r person cofrestredig dros wneud y cais;
- (c) manylion newidiadau y mae’r person cofrestredig yn bwriadu eu gwneud o ganlyniad i’r amrywio neu’r dileu y gwneir cais amdano, gan gynnwys manylion yngylch—
 - (i) newidiadau strwythurol arfaethedig i’r fangre;
 - (ii) staff, cyfleusterau neu gyfarpar ychwanegol, newidiadau o ran rheoli neu unrhyw newidiadau eraill sy’n ofynnol i sicrhau bod y newidiadau arfaethedig yn cael eu rhoi ar waith.

(4) Rhaid i’r person cofrestredig ddarparu i’r awdurdod cofrestru unrhyw wybodaeth arall neu ddogfennau eraill y mae’r awdurdod cofrestru yn eu gwneud yn rhesymol ofynnol mewn perthynas â’r cais.

Adroddiad o ran hyfywedd ariannol

13. Os yw’n ymddangos i’r person cofrestredig fod y practis deintyddol preifat yn debygol o beidio â bod yn hyfyw yn ariannol ar unrhyw adeg o fewn y 6 mis canlynol, rhaid i’r person cofrestredig gyflwyno adroddiad yngylch yr amgylchiadau perthnasol i’r awdurdod cofrestru.

PART 4

Conditions and Reports

Application for variation or removal of a condition

12.—(1) In this regulation—

“application” (“*cais*”) means an application by the registered person under section 15(1)(a) of the Act for the variation or removal of a condition in relation to that person’s registration; and

“proposed effective date” (“*dyddiad effeithiol arfaethedig*”) means the date requested by the registered person as the date on which the variation or removal applied for is to take effect.

(2) An application must be—

- (a) made in writing on a form approved by the registration authority;
- (b) sent or delivered to the registration authority not less than six weeks before the proposed effective date or such shorter period (if any) before that date as may be agreed with the registration authority;
- (c) accompanied by the information specified in paragraph (3); and
- (d) accompanied by the fee prescribed in the 2017 Regulations.

(3) The following information is specified—

- (a) the proposed effective date;
- (b) the registered person’s reasons for making the application;
- (c) details of changes that the registered person proposes to make as a consequence of the variation or removal applied for, including details of—
 - (i) proposed structural changes to the premises;
 - (ii) additional staff, facilities or equipment, changes in management or any other changes that are required to ensure that the proposed changes are carried into effect.

(4) The registered person must provide the registration authority with any other documents or information that the registration authority may reasonably require in relation to the application.

Report as to financial viability

13. If it appears to the registered person that the private dental practice is likely to cease to be financially viable at any time within the following 6 months, the registered person must give a report to the registration authority of the relevant circumstances.

RHAN 5

Canslo Cofrestriad

Canslo cofrestriad

14.—(1) At ddibenion adran 14(1)(d) o'r Ddeddf, mae paragraff (2) yn pennu ar ba sail y caiff awdurdod cofrestru ganslo cofrestriad person mewn cysylltiad â phractis deintyddol preifat.

(2) Y sail y cyfeirir ati ym mharagraff (1) yw bod y practis deintyddol preifat wedi peidio â bod yn hyfyw yn ariannol neu'n debygol o beidio â bod yn hyfyw yn ariannol ar unrhyw adeg o fewn y 6 mis nesaf.

Cais i ganslo cofrestriad

15.—(1) Yn y rheoliad hwn—

ystyr “cais i ganslo” (“*application for cancellation*”) yw cais gan y person cofrestredig o dan adran 15(1)(b) o'r Ddeddf i gofrestriad y person hwnnw gael ei ganslo;

ystyr “dyddiad effeithiol arfaethedig” (“*proposed effective date*”) yw'r dyddiad y mae'r person cofrestredig yn gofyn amdano fel y dyddiad y mae'r canslo y gwneir cais amdano i gael effaith; ac

ystyr “hysbysiad o gais i ganslo” (“*notice of application for cancellation*”) yw hysbysiad gan y person cofrestredig sy'n datgan bod y person cofrestredig wedi gwneud cais i ganslo neu'n bwriadu gwneud cais o'r fath.

(2) Rhaid i gais i ganslo—

- (a) bod yn ysgrifenedig ar ffurf a gymeradwyir gan yr awdurdod cofrestru;
- (b) cael ei anfon neu ei ddanfon i'r awdurdod cofrestru heb fod yn llai na 3 mis cyn y dyddiad effeithiol arfaethedig neu unrhyw gyfnod byrrach (os oes un) cyn y dyddiad hwnnw y cytunir arno â'r awdurdod cofrestru; ac
- (c) cael ei anfon gyda'r wybodaeth a bennir ym mharagraff (4).

(3) Os yw'r person cofrestredig yn gwneud cais i ganslo, rhaid i'r person cofrestredig, heb fod yn fwy na saith niwrnod ar ôl hynny, roi hysbysiad o'r cais i ganslo i bob un o'r personau a bennir ym mharagraff (4)(d), ac eithrio person y rhoddodd y person cofrestredig hysbysiad o'r fath iddo o fewn 3 mis cyn gwneud y cais i ganslo.

(4) Mae'r wybodaeth a ganlyn wedi ei phennu—

- (a) y dyddiad effeithiol arfaethedig;
- (b) datganiad ynghylch yr wybodaeth a ddarperir gan y person cofrestredig i gleifion ynghylch practisiau deintyddol tebyg yn eu hardal;

PART 5

Cancellation of Registration

Cancellation of registration

14.—(1) For the purposes of section 14(1)(d) of the Act, the ground on which the registration authority may cancel the registration of a person in respect of a private dental practice is specified in paragraph (2).

(2) The ground referred to in paragraph (1) is that the private dental practice has ceased to be financially viable or is likely to cease to be so at any time within the next 6 months.

Application for cancellation of registration

15.—(1) In this regulation—

“application for cancellation” (“*cais i ganslo*”) means an application by the registered person under section 15(1)(b) of the Act for the cancellation of that person's registration;

“notice of application for cancellation” (“*hysbysiad o gais i ganslo*”) means a notice by the registered person stating that the registered person has made, or intends to make, an application for cancellation; and

“proposed effective date” (“*dyddiad effeithiol arfaethedig*”) means the date requested by the registered person as the date on which the cancellation applied for is to take effect.

(2) An application for cancellation must be—

- (a) in writing on a form approved by the registration authority;
- (b) sent or delivered to the registration authority not less than 3 months before the proposed effective date or such shorter period (if any) before that date as may be agreed with the registration authority; and
- (c) accompanied by the information specified in paragraph (4).

(3) If the registered person makes an application for cancellation the registered person must, not more than seven days thereafter, give notice of the application for cancellation to each of the persons specified in paragraph (4)(d), other than a person to whom the registered person has given such notice within 3 months before making the application for cancellation.

(4) The following information is specified—

- (a) the proposed effective date;
- (b) a statement as to the information provided by the registered person to patients about similar dental practices in their area;

- (c) rhesymau'r person cofrestredig dros wneud y cais i ganslo;
 - (d) manylion unrhyw hysbysiad o gais i ganslo a roddwyd i unrhyw un neu ragor o'r personau a ganlyn;
 - (i) cleifion; ac
 - (ii) personau yr ymddengys i'r person cofrestredig eu bod yn gynrychiolwyr defnyddwyr gwasanaethau;
 - (e) pan na fo'r person cofrestredig wedi rhoi'r hysbysiad y cyfeirir ato yn is-baragraff (d), datganiad ynglych a oedd unrhyw amgylchiadau a ataliodd y person cofrestredig rhag rhoi'r hysbysiad hwnnw cyn y dyddiad y gwnaeth y person cofrestredig gais i ganslo neu a'i gwnaeth yn anymarferol iddo ei roi.
- (c) the registered person's reasons for making the application for cancellation;
 - (d) particulars of any notice of application for cancellation that has been given to any of the following persons—
 - (i) patients; and
 - (ii) persons who appear to the registered person to be representatives of service users;
 - (e) where the registered person has not given the notice referred to in sub-paragraph (d), a statement as to whether there were any circumstances which prevented the registered person from giving, or made it impracticable for that person to give, such notice before the date on which the registered person applied for cancellation.

Vaughan Gething

Ysgrifennyd y Cabinet dros Iechyd, Llesiant a Chwaraeon, un o Weinidogion Cymru
23 Chwefror 2017

Cabinet Secretary for Health, Well-being and Sport,
one of the Welsh Ministers
23 February 2017

YR ATODLENNI

ATODLEN 1 Rheoliadau 4 ac 8

Gwybodaeth sydd i gael ei Chyflenwi ar Gais i Gofrestru fel Person sy'n Cynnal Practis Deintyddol Preifat

RHAN 1

Gwybodaeth am y ceisydd

1. Pan fo'r ceisydd yn unigolyn—

- (a) enw llawn, dyddiad geni, cyfeiriad presennol, rhif ffôn a chyfeiriad post electronig (os oes un) y person cyfrifol;
- (b) manylion am gymwysterau proffesiynol neu dechnegol y person cyfrifol a'i brofiad o gynnal practis deintyddol preifat, i'r graddau y mae'r cymwysterau hynny a'r profiad hwnnw yn berthnasol i ddarparu gwasanaethau i bersonau y mae gwasanaethau i gael eu darparu iddynt yn y practis deintyddol preifat;
- (c) manylion am hanes cyflogaeth y person cyfrifol, gan gynnwys enw a chyfeiriad ei gyflogwr presennol ac enw a chyfeiriad unrhyw gyflogwyr blaenorol;
- (d) manylion am unrhyw fusnes y mae'r person cyfrifol yn ei gynnal neu wedi ei gynnal;
- (e) manylion am unrhyw safle arall neu safleoedd eraill y mae'r person cyfrifol yn cynnal, neu wedi cynnal, practis deintyddol preifat mewn cysylltiad ag ef neu â hwy;
- (f) enwau a chyfeiriadau dau ganolwr—
 - (i) nad ydynt yn berthnasau i'r person cyfrifol;
 - (ii) y mae'r dduo ohonynt yn gallu darparu geirda o ran cymhwysedd y person cyfrifol i gynnal practis deintyddol preifat o'r un disgrifiad â'r practis deintyddol preifat; a
 - (iii) y mae un ohonynt wedi cyflogi'r person cyfrifol am gyfnod o 3 mis o leiaf,

ond nid yw'r gofyniad am enw a chyfeiriad canolwr sydd wedi cyflogi'r person cyfrifol am gyfnod o 3 mis o leiaf yn gymwys pan fo'n anymarferol cael geirda oddi wrth berson sy'n bodloni'r gofyniad hwnnw;

SCHEDULES

SCHEDULE 1 Regulations 4 and 8

Information to be Supplied on an Application for Registration as a Person Who Carries on a Private Dental Practice

PART 1

Information about the applicant

1. Where the applicant is an individual—

- (a) the responsible person's full name, date of birth, current address, telephone number and electronic mail address (if any);
- (b) details of the responsible person's professional or technical qualifications and experience of carrying on a private dental practice, so far as such qualifications and experience are relevant to providing services for persons to whom services are to be provided at the private dental practice;
- (c) details of the responsible person's employment history, including the name and address of their present employer and of any previous employers;
- (d) details of any business the responsible person carries on or has carried on;
- (e) details of any other site or sites in respect of which the responsible person carries on or has carried on a private dental practice;
- (f) the names and addresses of two referees—
 - (i) who are not relatives of the responsible person;
 - (ii) each of whom is able to provide a reference as to the responsible person's competence to carry on a private dental practice of the same description as the private dental practice; and
 - (iii) one of whom has employed the responsible person for a period of at least 3 months,

but the requirement for the name and address of a referee who has employed the responsible person for a period of at least 3 months does not apply where it is impracticable to obtain a reference from a person who fulfils that requirement;

- (g) os yw'r person cyfrifol yn ddeintydd neu'n broffesiynolyn gofal deintyddol—
 - (i) rhif cofrestru proffesiynol y person cyfrifol; a
 - (ii) manylion am unrhyw amodau a osodwyd ar gofrestriad proffesiynol y person cyfrifol neu wrth ei gynnwys ar restr perfformwyr deintyddol;
- (h) os yw'r ceisydd yn bwriadu cynnal y practis deintyddol preifat mewn partneriaeth ag eraill, yr wybodaeth a bennir yn is-baragraffau (a) i (g) o'r paragraff hwn mewn perthynas â phob partner i'r ceisydd.

2. Pan fo'r ceisydd yn bartneriaeth—

- (a) enw a chyfeiriad y bartneriaeth;
- (b) mewn perthynas â phob aelod o'r bartneriaeth, yr wybodaeth a bennir ym mharagraff 1(a) i (g).

3. Pan fo'r ceisydd yn sefydliad—

- (a) enw'r sefydliad a chyfeiriad swyddfa gofrestredig neu brif swyddfa'r sefydliad;
- (b) enw llawn, dyddiad geni, cyfeiriad presennol a rhif ffôn y person cyfrifol;
- (c) manylion am gymwysterau proffesiynol neu dechnegol y person cyfrifol a'i brofiad o gynnal practis deintyddol preifat, i'r graddau y mae'r cymwysterau hynny a'r profiad hwnnw yn berthnasol i ddarparu gwasanaethau i bersonau y mae gwasanaethau i gael eu darparu iddynt yn y practis deintyddol preifat;
- (d) os yw'r sefydliad yn is-gwmni i gwmni daliannol, enw a chyfeiriad swyddfa gofrestredig neu brif swyddfa'r cwmni daliannol ac unrhyw is-gwmni arall i'r cwmni daliannol hwnnw.

4. Ym mhob achos—

- (a) datganiad yngylch a yw'r person cyfrifol wedi cael ei ddyfarnu'n fethdalwr, yn berson y gwnaed gorchymyn rhyddhau o ddyled mewn cysylltiad ag ef neu y gorchmynnwyd secwestru ei ystad, neu a yw'r person cyfrifol wedi gwneud compównd neu drefniant â'i gredydwyr, neu wedi rhoi gweithred ymddiriedolaeth ar eu cyfer;
- (b) datganiad yngylch gallu'r ceisydd i sicrhau hyfywedd ariannol y practis deintyddol preifat at ddiben cyflawni nodau ac amcanion y practis deintyddol preifat a nodir yn ei ddatganiad o ddiben.

- (g) if the responsible person is a dentist or dental care professional—
 - (i) the responsible person's professional registration number; and
 - (ii) details of any conditions imposed on the responsible person's professional registration or inclusion on a dental performers list;
- (h) if the applicant intends to carry on the private dental practice in partnership with others, the information specified in sub-paragraphs (a) to (g) of this paragraph in relation to each partner of the applicant.

2. Where the applicant is a partnership—

- (a) the name and address of the partnership;
- (b) in relation to each member of the partnership, the information specified in paragraph 1(a) to (g).

3. Where the applicant is an organisation—

- (a) the name of the organisation and the address of the registered office or principal office of the organisation;
- (b) the responsible person's full name, date of birth, current address and telephone number;
- (c) details of the responsible person's professional or technical qualifications and experience of carrying on a private dental practice, so far as such qualifications and experience are relevant to providing services for persons for whom services are to be provided by the private dental practice;
- (d) if the organisation is a subsidiary of a holding company, the name and address of the registered or principal office of the holding company and of any other subsidiary of that holding company.

4. In every case—

- (a) a statement as to whether the responsible person has been adjudged bankrupt, is a person in respect of whom a debt relief order has been made or sequestration of his estate has been ordered, or whether the responsible person has made a composition or arrangement with, or granted a trust deed for, their creditors;
- (b) a statement as to the applicant's ability to ensure the financial viability of the private dental practice for the purpose of achieving the aims and objectives of the private dental practice set out in its statement of purpose.

RHAN 2

Gwybodaeth am y practis deintyddol preifat

5. Enw, cyfeiriad, rhif ffôn, rhif ffacs (os oes un), a chyfeiriad post electronig (os oes un) y practis deintyddol preifat.

6. Pan fo'r practis deintyddol preifat yn cael ei weithredu o fwy nag un safle, enw, cyfeiriad, rhif ffôn, rhif ffacs (os oes un), a chyfeiriad post electronig (os oes un) pob safle.

7. Y disgrifiad o'r practis deintyddol preifat y mae'r ceisydd yn ceisio cael ei gofrestru mewn cysylltiad ag ef.

8. Datganiad o ddiben y practis deintyddol preifat.

9. Datganiad o ran y cyfleusterau a'r gwasanaethau sydd i gael eu darparu gan y practis deintyddol preifat gan gynnwys hyd a lled y cyfleusterau a gwasanaethau hynny.

10. Y dyddiad y sefydlwyd y practis deintyddol preifat neu y bwriedir ei sefydlu.

11. Manylion am y ffioedd dangosol sy'n daladwy gan y defnyddwyr gwasanaethau.

12. Mewn cysylltiad â'r fangre sydd i gael ei defnyddio gan bracts deintyddol preifat, disgrifiad o'r fangre, gan gynnwys datganiad ynghylch a yw'r fangre wedi cael ei hadeiladu'n bwrpasol neu a yw wedi cael ei throsi i'w defnyddio fel practis deintyddol preifat.

13. Mewn cysylltiad â'r fangre sydd i gael ei defnyddio fel practis deintyddol preifat, datganiad ynghylch a ellir defnyddio'r fangre, ar y dyddiad y gwneir y cais, at y dibenion ym mharagraff 14 heb fod angen caniatâd cynllunio, gwaith adeiladu, na throsi'r fangre ac, os na ellir defnyddio'r fangre yn y modd hwnnw ar y dyddiad y gwneir y cais, manylion am y caniatâd, y gwaith adeiladu neu'r gwaith trosi angenrheidiol.

14. Y dibenion y cyfeirir atynt ym mharagraff 13 yw—

- (a) cyflawni'r nodau ac amcanion a nodir yn natganiad o ddiben y practis deintyddol preifat; a
- (b) darparu cyfleusterau a gwasanaethau yn unol â'r datganiad y cyfeirir ato ym mharagraff 9.

15. Datganiad ynghylch y trefniadau diogelwch, gan gynnwys trefniadau at ddibenion—

- (a) diogelu mynediad at wybodaeth a gedwir gan y practis deintyddol preifat; a
- (b) cyfyngu mynediad o fangoedd cyfagos neu, pan fo'r fangre yn rhan o adeilad, o rannau eraill o'r adeilad.

PART 2

Information about the private dental practice

5. The name, address, telephone number, facsimile number (if any), and electronic mail address (if any) of the private dental practice.

6. Where the private dental practice is being operated from more than one site, the name, address, telephone number, facsimile number (if any), and electronic mail address (if any) of each site.

7. The description of the private dental practice in respect of which the applicant seeks to be registered.

8. The statement of purpose of the private dental practice.

9. A statement as to the facilities and services which are to be provided by the private dental practice including the extent of such facilities and services.

10. The date on which the private dental practice was established or is proposed to be established.

11. Details of indicative charges payable by the service users.

12. In respect of the premises to be used by a private dental practice, a description of the premises, including a statement as to whether the premises are purpose-built or have been converted for use as a private dental practice.

13. In respect of the premises to be used by a private dental practice, a statement as to whether, at the date the application is made, the premises are capable of being used for the purposes in paragraph 14 without the need for planning permission, building works, or conversion of the premises and, if the premises are not capable of such use at the date the application is made, details of the permission, works or conversion needed.

14. The purposes referred to in paragraph 13 are—

- (a) achieving the aims and objectives set out in the statement of purpose of the private dental practice; and
- (b) providing facilities and services in accordance with the statement referred to in paragraph 9.

15. A statement as to the security arrangements, including arrangements for the purposes of—

- (a) safeguarding access to information held by the private dental practice; and
- (b) restricting access from adjacent premises or, when the premises form part of a building, from other parts of the building.

16. Enw a chyfeiriad unrhyw bractis deintyddol preifat arall, y mae gan y ceisydd fuddiant busnes neu ariannol ynddo, neu y bu ganddo fuddiant o'r fath ynddo, neu lle y cyflogir neu y cyflogwyd y ceisydd, a manylion am y buddiant hwnnw neu'r gyflogaeth honno.

17. A oes, neu a fydd, unrhyw fusnes arall yn cael ei gynnal yn yr un fangre â mangre'r practis deintyddol preifat ac, os felly, manylion am y busnes hwnnw.

18. Manylion am unrhyw gynhyrchion laser Dosbarth 3B neu Ddosbarth 4(1) a fydd yn cael eu defnyddio i ddarparu triniaeth ddeintyddol i gleifion gan gynnwys a yw'r protocol proffesiynol gofynnol wedi cael ei lunio ac a ymgymherwyd â'r hyfforddiant priodol.

Gwybodaeth am swyddi staff

19. Rhestr o swyddi staff yn y practis deintyddol preifat a'r dyletswyddau a'r cyfrifoldebau sydd ynghlwm wrth bob swydd.

RHAN 3

Gwybodaeth bellach am staff

20. Mewn cysylltiad ag unrhyw berson, ac eithrio'r ceisydd, sy'n gweithio yn y practis deintyddol preifat neu y bwriedir iddo weithio yno—

- (a) os yw'n berthynas i unrhyw berson sydd wedi gwneud cais mewn cysylltiad â'r practis deintyddol preifat, ei berthynas â'r person hwnnw;
- (b) gwybodaeth ynghylch cymwysterau'r person, ei brofiad a'i sgiliau i'r graddau y maent yn berthnasol i'r gwaith y mae'r person i'w gyflawni;
- (c) datganiad gan y ceisydd ei fod wedi ei fodloni o ran dilysrwydd y cymwysterau, a'i fod wedi gwirio'r profiad a'r sgiliau y cyfeirir atynt yn is-baragraff (c);
- (d) datganiad ynghylch—
 - (i) addasrwydd cymwysterau'r person ar gyfer y gwaith y mae'r person i'w gyflawni;
 - (ii) oes gan y person y sgiliau y mae eu hangen ar gyfer y gwaith hwnnw;

(1) I gael ystyr cynnrych laser Dosbarth 3B neu Ddosbarth 4 *gweler* Rhan 1 Safon Prydeinig EN 60825 – 1 (Diogelwch ymbelyddedd cynhyrchion a systemau laser). Gellir cael copiau oddi wrth: BS1 Customer Services, 389 Chiswick High Road, London W4 4AL.

16. The name and address of any other private dental practice, which the applicant has or has had a business or financial interest, or at which the applicant is or has been employed, and details of such interest or employment.

17. Whether any other business is or will be carried on in the same premises as those of the private dental practice and, if so, details of that business.

18. Details of any Class 3B or Class 4 laser products(1) that will be used to provide dental treatment to patients including whether the required professional protocol has been drawn up and the appropriate training undertaken.

Information about staff posts

19. A list of staff posts at the private dental practice and the duties and responsibilities attaching to each post.

PART 3

Further information about staff

20. In respect of any person, other than the applicant, who works at, or is intended to work at the private dental practice—

- (a) if he or she is a relative of any person who has made an application in respect of the private dental practice, his or her relationship to such person;
- (b) information as to the person's qualifications, experience and skill in so far as is relevant to the work that the person is to perform;
- (c) a statement by the applicant that he or she is satisfied as to the authenticity of the qualifications, and has verified the experience and skills that are referred in sub-paragraph (c);
- (d) a statement as to—
 - (i) the suitability of the person's qualifications for the work that the person is to perform;
 - (ii) whether the person has the skills necessary for such work;

(1) For the meaning of Class 3B or Class 4 laser product see Part 1 of British Standard EN 60825 – 1 (Radiation safety of laser products and systems). Copies can be obtained from BS1 Customer Services, 389 Chiswick High Road, London W4 4AL.

- (iii) addasrwydd y person i weithio gyda defnyddwyr gwasanaethau ac i gael cyswllt rheolaidd â hwy;
- (e) datganiad gan y person ynghylch cyflwr ei iechyd corfforol a meddyliol;
- (f) datganiad gan y ceisydd i gadarnhau a yw wedi ei fodloni ynghylch hunaniaeth y person, y dull a ddefnyddiodd y ceisydd i'w fodloni ei hunan ynglŷn â hynny, ac a yw'r ceisydd wedi cael copi o dystysgrif geni'r person;
- (g) cadarnhad gan y ceisydd fod ganddo ffotograff diweddar o'r person;
- (h) datganiad gan y ceisydd ei fod wedi cael dau eirfa sy'n ymwneud â'r person a bod y ceisydd wedi ei fodloni ynghylch diliysrwydd y geirdaon hynny;
- (i) manylion am unrhyw droseddau y mae'r person wedi ei euogfarnu ohonynt, gan gynnwys manylion unrhyw euogfarnau sydd wedi eu disbyddu o fewn ystyr "spent" yn adran 1 o Ddeddf Adsefydlu Troseddwyr 1974 ac y caniateir eu datgelu yn rhinwedd Gorchymyn Deddf Adsefydlu Troseddwyr 1974 (Eithriadau) 1975, ac, mewn perthynas â phob trosedd o'r fath, ddatganiad gan y person—
 - (i) ynghylch a yw ei drosedd yn berthnasol yn ei farn ef i'w addasrwydd i ofalu am unrhyw berson, i hyfforddi unrhyw berson, i oruchwyllo unrhyw berson neu i fod â gofal ar ei ben ei hun am unrhyw berson ac, os felly,
 - (ii) ynghylch pam y mae'n ystyried ei fod yn addas i wneud y gwaith y mae i gael ei gyflawni;
- (j) manylion am unrhyw droseddau y mae wedi cael rhybuddiad gan gwnstabl mewn cysylltiad â hwy, ac a gyfaddefodd ar yr adeg y rhoddyd y rhybuddiad;
- (k) cadarnhad gan y ceisydd bod y person wedi cael archwiliadau iechyd safonol ac archwiliadau iechyd ychwanegol pan fydd y person yn cynnal triniaethau a all arwain at gysylltiad;
- (l) os yw'r person yn ddeintydd neu'n broffesiynolyn gofal deintyddol, datganiad—
 - (i) bod y person wedi ei gofrestru â'r Cyngor Deintyddol Cyffredinol; a
 - (ii) bod gan y person dystysgrif sicrwydd indemniad sy'n darparu sicrwydd i'r person mewn cysylltiad ag atebolrwyddau a all godi wrth gyflawni gwasanaethau'r person.
- (iii) the person's fitness to work, and have regular contact, with service users;
- (e) a statement by the person as to the state of his or her physical and mental health;
- (f) a statement by the applicant as to whether he or she is satisfied as to the person's identity, the means by which the applicant so satisfied himself or herself and whether the applicant has obtained a copy of the person's birth certificate;
- (g) confirmation by the applicant that he or she has a recent photograph of the person;
- (h) a statement by the applicant that he or she has obtained two references relating to the person and that the applicant is satisfied as to the authenticity of those references;
- (i) details of any criminal offences of which the person has been convicted, including details of any convictions which are spent within the meaning of section 1 of the Rehabilitation of Offenders Act 1974 and which may be disclosed by virtue of the Rehabilitation of Offenders Act 1974 (Exceptions) Order 1975, and, in relation to each such offence, a statement by the person—
 - (i) as to whether in his or her view the offence is relevant to his or her suitability to care for, train, supervise or be in sole charge of any person and, if so,
 - (ii) as to why he or she considers that he or she is suitable to perform the work in which he or she is to be employed;
- (j) details of any criminal offences in respect of which he or she has been cautioned by a constable and which, at the time the caution was given, he or she admitted;
- (k) confirmation by the applicant that the person has had standard health checks and, where the person will be performing exposure-prone procedures, additional health checks;
- (l) if the person is a dentist or dental care professional, a statement that the person—
 - (i) is registered with the General Dental Council; and
 - (ii) has a certificate of indemnity cover which provides the person with cover in respect of liabilities that may be incurred in carrying out the person's services.

ATODLEN 2 Rheoliadau 4 ac 8

Dogfennau sydd i Gael eu Cyflenwi ar Gais i Gofrestru fel Person sy'n Cynnal Practis Deintyddol Preifat

Dogfennau sy'n ymwneud â'r ceisydd

1. Tystysgrif geni'r person cyfrifol.

2. Tystysgrifau neu dystiolaeth addas arall sy'n ymwneud â chymwysterau proffesiynol neu dechnegol y person cyfrifol, i'r graddau y mae'r cymwysterau hynny yn berthnasol i ddarparu gwasanaethau i bersonau y mae gwasanaethau i gael eu darparu iddynt yn y practis deintyddol preifat.

3. Datganiad gan y person cyfrifol ynghylch cyflwr ei ieichyd corfforol a meddylolol.

4. Mewn perthynas â'r person cyfrifol, tystysgrif cofnod troseddol manwl a ddyroddir o dan adran 113B o Ddeddf yr Heddlu 1997(1) sy'n cynnwys, fel y bo'n gymwys, wybodaeth addasrwydd sy'n ymwneud ag oedolion hyglwyf (fel y diffinnir "suitability information relating to vulnerable adults" yn adran 113BB o'r Ddeddf honno) neu wybodaeth addasrwydd sy'n ymwneud â phlant (fel y diffinnir "suitability information relating to children" yn adran 113BA o'r Ddeddf honno) neu'r ddwy, a bod llai na thair blynedd wedi mynd heibio mewn cysylltiad â'r wybodaeth addasrwydd.

5. Pan fo'r ceisydd yn gorff corfforaethol, copi o bob un o'i ddau adroddiad blynnyddol diwethaf.

6. Pan fo'r sefydliad yn is-gwmni i gwmni daliannol, enw a chyfeiriad swyddfa gofrestredig neu brif swyddfa'r cwmni daliannol a dau adroddiad blynnyddol diwethaf (os oes rhai) y cwmni daliannol ac unrhyw is-gwmni arall i'r cwmni daliannol hwnnw.

7. Cyfrifon blynnyddol diwethaf (os oes rhai) y practis deintyddol preifat.

8. Tystysgrif yswiriant ar gyfer y ceisydd mewn cysylltiad ag atebolrwydd a all ddod i ran y ceisydd mewn perthynas â'r practis deintyddol preifat mewn cysylltiad â marwolaeth, anaf, atebolrwydd cyhoeddus, difrod neu golled arall.

Tystysgrifau cofnodion troseddol mewn cysylltiad â staff

9.—(1) Datganiad sy'n cadarnhau—

(1) 1997 p. 50.

SCHEDULE 2 Regulations 4 and 8

Documents to be Supplied on an Application for Registration as a Person who Carries on a Private Dental Practice

Documents concerning applicant

1. The responsible person's birth certificate.

2. Certificates or other suitable evidence relating to the responsible person's professional or technical qualifications, so far as such qualifications are relevant to providing services for persons for whom services are to be provided at the private dental practice.

3. A statement by the responsible person as to the state of their physical and mental health.

4. In relation to the responsible person, an enhanced criminal record certificate issued under section 113B of the Police Act 1997(1) which includes, as applicable, suitability information relating to vulnerable adults (as defined in section 113BB of that Act) or suitability information relating to children (as defined in section 113BA of that Act) or both, in respect of which less than three years has lapsed.

5. Where the applicant is a body corporate, a copy of each of its last two annual reports.

6. Where the organisation is a subsidiary of a holding company, the name and address of the registered or principal office and the last two annual reports (if any) of the holding company and of any other subsidiary of that holding company.

7. The last annual accounts (if any) of the private dental practice.

8. A certificate of insurance for the applicant in respect of liability which may be incurred by the applicant in relation to the private dental practice in respect of death, injury, public liability, damage or other loss.

Criminal record certificates in respect of staff

9.—(1) A statement confirming that—

(1) 1997 c. 50.

- (a) bod y dogfennau a bennir yn is-baragraff (2) wedi eu dyroddi—
 - (i) yn achos unrhyw geisydd, i bob person, ac eithrio'r ceisydd, sy'n gweithio at ddibenion y practis deintyddol preifat neu y bwriedir iddo weithio at ddibenion y practis deintyddol preifat; a
 - (ii) pan fo'r ceisydd yn sefydliad, i'r unigolyn cyfrifol; a
 - (b) y bydd y ceisydd yn trefnu bod y dogfennau ar gael i'r awdurdod cofrestru edrych arnynt os yw hynny'n ofynnol gan yr awdurdod cofrestru.
- (2) Mae'r dogfennau a ganlyn wedi eu pennu—
- (a) pan fo'r swydd yn dod o fewn rheoliad 5A(a) o Reoliadau Deddf yr Heddlu 1997 (Cofnodion Troseddol) 2002, tystysgrif cofnod troseddol manwl a ddyroddir o dan adran 113B o Ddeddf yr Heddlu 1997 sy'n cynnwys, fel y bo'n gymwys, wybodaeth addasrwydd sy'n ymwneud ag oedolion hyglwyf (fel y diffinnir "suitability information relating to vulnerable adults" yn adran 113BB o'r Ddeddf honno) neu wybodaeth addasrwydd sy'n ymwneud â phlant (fel y diffinnir "suitability information relating to children" yn adran 113BA o'r Ddeddf honno) neu'r ddwy, a bod llai na thair blynedd wedi mynd heibio mewn cysylltiad â'r wybodaeth addasrwydd; neu
 - (b) mewn unrhyw achos arall, tystysgrif cofnod troseddol a ddyroddir o dan adran 113A o Ddeddf yr Heddlu 1997 y mae llai na thair blynedd wedi mynd heibio mewn cysylltiad â hi.
- (a) the documents specified in sub-paragraph (2) have been issued—
 - (i) in the case of any applicant, to every person, other than the applicant, who works, or is intended to work, for the purposes of the private dental practice; and
 - (ii) where the applicant is an organisation, to the responsible individual; and
 - (b) the applicant will make the documents available for inspection by the registration authority if the registration authority so requires.
- (2) The following documents are specified—
- (a) where the position falls within regulation 5A(a) of the Police Act 1997 (Criminal Records) Regulations 2002 an enhanced criminal record certificate issued under section 113B of the Police Act 1997 which includes, as applicable, suitability information relating to vulnerable adults (as defined in section 113BB of that Act) or suitability information relating to children (as defined in section 113BA of that Act), or both, in respect of which less than three years has lapsed; or
 - (b) in any other case, a criminal record certificate issued under section 113A of the Police Act 1997 in respect of which less than three years has lapsed.

ATODLEN 3 Rheoliad 4

Gwybodaeth a Dogfennau sydd i Gael eu Cyflenwi ar Gais i Gofrestru fel Rheolwr Practis Deintyddol Preifat

RHAN 1

Gwybodaeth

1. Enw llawn, dyddiad geni, cyfeiriad presennol, rhif ffôn a chyfeiriad post electronig (os oes un) y ceisydd.

2. Manylion am gymwysterau proffesiynol neu dechnegol y ceisydd, a'i brofiad o reoli practis deintyddol preifat, i'r graddau y mae'r cymwysterau hynny a'r profiad hwnnw yn berthnasol i ddarparu gwasanaethau i bersonau y mae gwasanaethau i gael eu darparu iddynt yn y practis deintyddol preifat.

3. Manylion am hyfforddiant proffesiynol y ceisydd sy'n berthnasol i gynnal neu reoli practis deintyddol preifat.

4. Manylion am hanes cyflogaeth y ceisydd, gan gynnwys enw a chyfeiriad ei gyflogwr presennol ac enw a chyfeiriad unrhyw gyflogwyr blaenorol.

5. Manylion am unrhyw fusnes y mae'r ceisydd yn ei gynnal neu'n ei reoli neu wedi ei gynnal neu ei reoli.

6.—(1) Enw a chyfeiriad dau ganolwr—

- (a) nad ydynt yn berthnasau i'r ceisydd;
- (b) y mae'r ddua ohonynt yn gallu darparu geirda o ran cymhwysedd y ceisydd i reoli practis deintyddol preifat o'r un disgrifiad â'r practis deintyddol preifat; ac
- (c) y mae un ohonynt wedi cyflogi'r ceisydd am gyfnod o 3 mis o leiaf.

(2) Ni chaniateir i'r gofyniad am enw a chyfeiriad canolwr sydd wedi cyflogi'r ceisydd am gyfnod o 3 mis o leiaf fod yn gymwys pan fo'n anymarferol cael geirda oddi wrth berson sy'n bodloni'r gofyniad hwnnw.

7. Enw, cyfeiriad, rhif ffôn, rhif ffacs (os oes un), a chyfeiriad post electronig (os oes un) y practis deintyddol preifat.

8. Os yw'r ceisydd yn ddeintydd neu'n broffesiynol ym gofal deintyddol—

- (a) rhif cofrestru proffesiynol y person cyfrifol; a

SCHEDULE 3 Regulation 4

Information and Documents to be Supplied on an Application for Registration as the Manager of a Private Dental Practice

PART 1

Information

1. The applicant's full name, date of birth, current address, telephone number and electronic mail address (if any).

2. Details of the applicant's professional or technical qualifications, and experience of managing a private dental practice, so far as such qualifications and experience are relevant to providing services for persons for whom services are to be provided at the private dental practice.

3. Details of the applicant's professional training relevant to carrying on or managing a private dental practice.

4. Details of the applicant's employment history, including the name and address of their present employer and of any previous employers.

5. Details of any business the applicant carries on or manages or has carried on or managed.

6.—(1) The name and addresses of two referees—

- (a) who are not relatives of the applicant;
- (b) each of whom is able to provide a reference as to the applicant's competence to manage private dental practice of the same description as the private dental practice; and
- (c) one of whom has employed the applicant for a period of at least 3 months.

(2) The requirement for the name and address of a referee who has employed the applicant for a period of at least 3 months must not apply where it is impracticable to obtain a reference from a person who fulfils that requirement.

7. The name, address, telephone number, facsimile number (if any), and electronic mail address (if any) of the private dental practice.

8. If the applicant is a dentist or dental care professional—

- (a) the responsible person's professional registration number; and

(b) manylion unrhyw amodau a osodwyd ar gofrestriad proffesiynol y person cyfrifol neu wrth ei gynnwys ar restr perfformwyr deintyddol.

(b) details of any conditions imposed on the responsible person's professional registration or inclusion on a dental performers list.

RHAN 2

Dogfennau

9. Tystysgrif geni'r ceisydd.

10. Tystysgrifau neu dystiolaeth addas arall sy'n ymwneud â chymwysterau proffesiynol neu dechnegol y ceisydd, i'r graddau y mae'r cymwysterau hynny yn berthnasol i ddarparu gwasanaethau i bersonau y mae gwasanaethau i gael eu darparu iddynt yn y practis deintyddol preifat.

11. Datganiad gan y ceisydd ynghylch cyflwr ei iechyd corfforol a meddyliol.

12. Tystysgrif cofnod troseddol manwl a ddyroddir o dan adran 113B o Ddeddf yr Heddlu 1997 ac, fel y bo'n gymwys, wybodaeth addasrwydd sy'n ymwneud ag oedolion hyglwyf (fel y diffinnir "suitability information relating to vulnerable adults" yn adran 113BB o'r Ddeddf honno) neu wybodaeth addasrwydd sy'n ymwneud â phlant (fel y diffinnir "suitability information relating to children" yn adran 113BA o'r Ddeddf honno) neu'r ddwy, a bod llai na thair blynedd wedi mynd heibio mewn cysylltiad â'r wybodaeth addasrwydd.

PART 2

Documents

9. The applicant's birth certificate.

10. Certificates or other suitable evidence relating to the applicant's professional or technical qualifications, so far as such qualifications are relevant to providing services for persons for whom services are to be provided at the private dental practice.

11. A statement by the applicant as to the state of their physical and mental health.

12. An enhanced criminal record certificate issued under section 113B of the Police Act 1997 and, as applicable, suitability information relating to vulnerable adults (as defined in section 113BB of that Act) or suitability information relating to children (as defined in section 113BA of that Act) or both, in respect of which less than three years has lapsed.

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2017 Rhif 201 (Cy. 56)

**IECHYD Y CYHOEDD,
CYMRU**

Rheoliadau Cofrestru Deintyddiaeth
Breifat (Cymru) 2017

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