WELSH STATUTORY INSTRUMENTS

2019 No. 163 (W. 40)

SOCIAL CARE, WALES

The Adult Placement Services (Service Providers and Responsible Individuals) (Wales) Regulations 2019

Made - - - - - Coming into force

31st January 2019 29th April 2019

THE ADULT PLACEMENT SERVICES (SERVICE PROVIDERS AND RESPONSIBLE INDIVIDUALS) (WALES) REGULATIONS 2019

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1. Title, commencement and interpretation

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- 3. Requirements in relation to the statement of purpose
- 4. Requirements in relation to monitoring and improvement
- 5. Requirements in relation to the responsible individual
- 6. Requirements in relation to the responsible individual where the service provider is an individual
- 7. Requirements in relation to the financial sustainability of the service
- 8. Requirements to provide the service in accordance with policies and procedures
- 9. Duty of candour

PART 3

Requirements on service providers as to the steps to be taken before agreeing to provide care and support

10. Suitability of the service

PART 4

Requirements on service providers as to the steps to be taken on commencement of the provision of care and support

- 11. Carer agreement
- 12. Individual placement agreement
- 13. Personal plan
- 14. Review of personal plan
- 15. Records of personal plan

PART 5

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16. Information about the service

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- 17. Standards of care and support overarching requirements
- 18. Information
- 19. Language and communication
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- 22. Safeguarding policies and procedures
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- 27. Staffing overarching requirements
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- 31. Information for staff
- 32. Disciplinary procedure

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- 33. Recruitment and training of adult placement carers
- 34. Effective relationships
- 35. Support and information for adult placement carers
- 36. Fitness of adult placement carers

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- 45. Supervision of management of the service
- 46. Duty to appoint a manager
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- 48. Restrictions on appointing manager for more than one service
- 49. Duty to report the appointment of manager to the service provider
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- 53. Oversight of adequacy of resources
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- 56. Duty to ensure there are systems in place to record incidents and complaints
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- 59. Quality of care review
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- 64. Offences service providers
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PART 18

Service providers who are liquidated etc. or who have died

- 66. Appointment of liquidators etc.
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PART 19

Regulations under section 21(5) of the Act

68. Designation of responsible individual by Welsh Ministers Signature

SCHEDULE

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- PART 1 Information and documentation to be available in respect of adult placement carers and persons working at the service
- 1. Proof of identity including a recent photograph.
- 2. Where required for the purposes of an exempted question in...
- 3. Where required for the purposes of an exempted question asked...
- 4. Two written references, including a reference from the last employer,...
- 5. Where a person has previously worked in a position whose...
- 6. Documentary evidence of any relevant qualification.
- 7. Where relevant, documentary evidence of registration with SCW.
- 8. A full employment history, together with a satisfactory written explanation...
- 9. Evidence of satisfactory linguistic ability for the purposes of providing...
- 10. Details of registration with or membership of any professional body....
 - PART 2 Interpretation of Part 1
 - For the purposes of paragraphs 2 and 3 of Part...

SCHEDULE Records to be kept in respect of services

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11.

- 1. Records to be kept
- 2. In respect of each individual, records of-
- 3. A record of any charges by the service provider to...

- 4. Where applicable, a record of all medicines kept by the...
- 5. A record of all money or other valuables deposited by...
- 6. A record of the following events that occur in the...
- 7. A record of all complaints made by individuals or their...
- 8. A record of all persons working at the service, which...
- 9. A copy of the duty roster of persons working at...
- E Notifications by the service provider

SCHEDULE

- 1. Any revision to the statement of purpose, 28 days prior...
- 2. Where the service provider (individual or organisation) changes their name....
- 3. Where, on or after 1 April 2020, the service provider...
- 3A Where, on or after 1 April 2020, the service provider...
- 4. Where the service provider is an individual, the appointment of...
- 5. Where the service provider is a body corporate or partnership,...
- 6. Where the service provider is a partnership, death of one...
- 7. Where the service provider is a partnership, any change in...
- 8. Expected absence of the responsible individual for 28 days or...
- 9. The unexpected absence of the responsible individual, no later than...
- 10. Unexpected absence of the responsible individual for 28 days or...
- 11. Return from absence of the responsible individual.
- 12. The responsible individual ceases, or proposes to cease, being the...
- 13. Any abuse or allegation of abuse in relation to an...
- 14. Where the service provider, responsible individual or appointed manager convicted...
- 15. Any allegation of misconduct by a member of staff.
- 16. Serious accident or injury of an individual placed with an...
- 17. Any incident reported to the police.
- 18. Any events which prevent, or could prevent, the service provider...
- 19. The death of an individual placed with an adult placement...
- 20. Any request to a supervisory body in relation to the...

Notifications by the responsible individual

- 21. Any proposal to change the address of the principal office,...
- 22. Any change of telephone number or electronic mail address for...

SCHEDULE 4

- 1. The appointment of a manager (see regulation 46(1)).
- 2. The appointment of a manager (see regulation 46(1)).
- 3. The expected absence of the appointed manager for 28 days...
- 4. The unexpected absence of the appointed manager, no later than...
- 5. The unexpected absence of appointed manager, no factor that 5.
- 6. Return from absence of appointed manager.
- 7. Interim arrangements where the manager is absent for longer than...
- 8. Someone other than the appointed manager is proposing to manage...
- 9. The appointed manager ceases, or proposes to cease, managing the... Explanatory Note

Changes to legislation: There are currently no known outstanding effects for the The Adult Placement Services (Service Providers and Responsible Individuals) (Wales) Regulations 2019.