

## SCHEDULE 3

Regulation 31

### Notifications by the service provider

#### PART 1

##### Notifications to the service regulator

1. Any revision to the statement of purpose, 28 days prior to the revised statement of purpose coming into effect.
2. Where the service provider (individual or organisation) changes their name.
3. Where the service provider is a company, any change in the directors of the company.
4. Where the service provider is an individual, the appointment of a trustee in bankruptcy in relation to that individual.
5. Where the service provider is a body corporate or partnership, the appointment of a receiver, manager, liquidator or provisional liquidator in relation to that company or partnership.
6. Where the service provider is a partnership, death of one of the partners.
7. Where the service provider is a partnership, any change in the partners.
8. Expected absence of the responsible individual for 28 days or more, 7 days prior to commencement of the absence.
9. The unexpected absence of the responsible individual, no later than 7 days after the commencement of the absence.
10. Unexpected absence of the responsible individual for 28 days or more, where no prior notification has been given, immediately on the expiry of 28 days following the commencement of the absence.
11. Return from absence of the responsible individual.
12. The responsible individual ceases, or proposes to cease, being the responsible individual for the service.
13. Any abuse or allegation of abuse in relation to an individual that involves the service provider and/or a member of staff or volunteer.
14. Service provider, responsible individual or appointed manager convicted of criminal offence.
15. Any allegation of misconduct by a member of staff.
16. Any incident reported to the police.
17. Any events which prevent, or could prevent, the provider from continuing to provide the service safely.
18. Any proposal to change the address of the principal office, 28 days prior to the change taking place.
19. Any referral to the DBS pursuant to the Safeguarding Vulnerable Groups Act 2006.
20. Where the service provider, responsible individual or appointed manager is charged with any offence specified in the Schedule to the Safeguarding Vulnerable Groups Act 2006 (Prescribed

**Status:** This is the original version (as it was originally made).

Criteria and Miscellaneous Provisions) Regulations 2009(1), notice of the offence charged and the place of charge.

- 21. Any incident of child sexual or criminal exploitation or suspected child sexual or criminal exploitation.
- 22. The death of a child placed for adoption by the service.
- 23. The instigation and outcome of any child protection enquiry involving a child placed for adoption by the service.

## PART 2

Notification to the Local Health Board/clinical commissioning group and National Health Service Commissioning Board by the provider of an adoption society

- 24. Death of a child placed for adoption by the service.
- 25. Any serious accident or injury sustained by a child placed for adoption by the service.

## PART 3

Notification to the Local Health Board/clinical commissioning group and National Health Service Commissioning Board by the provider of an adoption support agency or of an adoption society which provides adoption support services

- 26. The death, serious accident or injury of a child in the course of receiving adoption support services from the service.

## PART 4

Notification to the placing agency

- 27. Any serious complaint about a prospective adopter approved by the agency where a child is placed for adoption with that prospective adopter by another adoption agency.

## PART 5

Notifications to the area authority

- 28. The death of a child placed for adoption by the service.
- 29. Any serious accident or injury sustained by a child placed for adoption by the service.
- 30. Any serious complaint about a prospective adopter approved by the service where a child is placed for adoption with that prospective adopter by the service.
- 31. Any serious complaint about a prospective adopter approved by the service where a child is placed for adoption with that prospective adopter by another adoption agency (if not notified as the placing agency).

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(1) [S.I. 2009/37](#).

**32.** The instigation and outcome of any child protection enquiry involving a child placed for adoption by the service.

## PART 6

Notifications to the placing authority by the provider of an adoption society

**33.** The death of a child placed for adoption by the service.

**34.** Any serious accident or injury sustained by a child placed for adoption by the service.

**35.** Any serious complaint about a prospective adopter approved by the service where a child is placed for adoption with that prospective adopter by another adoption agency.

**36.** The instigation and outcome of any child protection enquiry involving a child placed for adoption by the service.

## PART 7

Notifications to the placing authority by the provider of an adoption support agency or adoption society which provides adoption support services

**37.** The death of a child in the course of receiving adoption support services from the service.

**38.** Any serious accident or injury sustained by a child in the course of receiving adoption support services from the service.

**39.** The instigation and outcome of any child protection enquiry involving a child receiving adoption support services from the service.

## PART 8

Notification to the relevant authority

**40.** Death or any serious accident or injury sustained by a child in the course of receiving adoption support services.

## PART 9

Notification to the police

**41.** Any incident of child sexual or criminal exploitation or suspected child sexual or criminal exploitation.