



OFFERYNNAU STATUDOL
CYMRU

WELSH STATUTORY
INSTRUMENTS

2019 Rhif 887 (Cy. 159)

2019 No. 887 (W. 159)

**GOFAL CYMDEITHASOL,
CYMRU**

SOCIAL CARE, WALES

**Rheoliadau Gwasanaethau
Rheoleiddiedig (Hysbysiadau Cosb)
(Cymru) 2019**

**The Regulated Services (Penalty
Notices) (Wales) Regulations 2019**

NODYN ESBONIADOL

(Nid yw'r nodyn hwn yn rhan o'r Rheoliadau)

Mae Rhan 1 o Ddeddf Rheoleiddio ac Arolygu Gofal Cymdeithasol (Cymru) 2016 (“y Ddeddf”) yn sefydlu system newydd o reoleiddio ac arolygu gwasanaethau gofal cymdeithasol yng Nghymru, sy'n disodli'r system a sefydlwyd o dan Ddeddf Safonau Gofal 2000.

Mae adran 2 o'r Ddeddf ac Atodlen 1 iddi yn pennu'r gwasanaethau sy'n “gwasanaethau rheoleiddiedig” at ddibenion y Ddeddf. Y rhain yw gwasanaeth cartref gofal, gwasanaeth llety diogel, gwasanaeth canolfan breswyl i deuluoedd, gwasanaeth mabwysiadu, gwasanaeth maethu, gwasanaeth lleoli oedolion, gwasanaeth eirioli a gwasanaeth cymorth cartref.

O dan adran 3(1)(c) o'r Ddeddf, cyfeirir at berson sydd wedi ei gofrestru i ddarparu gwasanaeth rheoleiddiedig fel “darparwr gwasanaeth”. Mae rheoliadau a wneir o dan adran 27 o'r Ddeddf yn gosod gofynion ar ddarparwyr gwasanaethau mewn cysylltiad â'r gwasanaethau rheoleiddiedig y maent yn eu darparu.

Mae adran 6 o'r Ddeddf yn ei gwneud yn ofynnol i ddarparwr gwasanaeth ddynodi unigolyn fel yr “unigolyn cyfrifol” mewn cysylltiad â phob man y mae gwasanaeth rheoleiddiedig i'w ddarparu ynddo, ohono neu mewn perthynas ag ef. Mae rheoliadau a wneir o dan adran 28 o'r Ddeddf yn gosod gofynion ar yr unigolyn cyfrifol mewn perthynas â'r gwasanaethau rheoleiddiedig y mae'n gyfrifol amdanynt.

EXPLANATORY NOTE

(This note is not part of the Regulations)

Part 1 of the Regulation and Inspection of Social Care (Wales) Act 2016 (“the Act”) establishes a new system of regulation and inspection of social care services in Wales, which replaces the system that was established under the Care Standards Act 2000.

Section 2 of and Schedule 1 to the Act specify the services which are “regulated services” for the purposes of the Act. These are a care home service, a secure accommodation service, a residential family centre service, an adoption service, a fostering service, and adult placement service, an advocacy service and a domiciliary support service.

Under section 3(1)(c) of the Act, a person who is registered to provide a regulated service is referred to as a “service provider”. Regulations made under section 27 of the Act impose requirements on service providers in respect of the regulated services they provide.

Section 6 of the Act requires a service provider to designate an individual as the “responsible individual” in respect of each place at, from, or in relation to which a regulated service is to be provided. Regulations made under section 28 of the Act impose requirements on the responsible individual in relation to the regulated services for which they are responsible.

Mae adran 45 o'r Ddeddf yn galluogi Gweinidogion Cymru i wneud rheoliadau sy'n darparu ei bod yn drosedd i ddarparwr gwasanaeth fethu â chydymffurfio â darpariaeth benodedig mewn rheoliadau a wneir o dan adran 27. O dan adran 46 o'r Ddeddf, caiff Gweinidogion Cymru hefyd wneud rheoliadau sy'n darparu ei bod yn drosedd i unigolyn cyfrifol fethu â chydymffurfio â darpariaeth benodedig mewn rheoliadau a wneir o dan adran 28 o'r Ddeddf.

Mae Rheoliadau Gwasanaethau Rheoleiddiedig (Darparwyr Gwasanaethau ac Unigolion Cyfrifol) (Cymru) 2017 ("Rheoliadau 2017") yn darparu ei bod yn drosedd i ddarparwyr gwasanaethau ac unigolion cyfrifol dynodedig ar gyfer gwasanaethau cartrefi gofal, gwasanaethau llety diogel, gwasanaethau canolfannau preswyl i deuluoedd a gwasanaethau cymorth cartref rheoleiddiedig fethu â chydymffurfio ag unrhyw un neu ragor o'r darpariaethau a bennir yn rheoliadau 85 a 86 yn y drefn honno o'r Rheoliadau hynny.

Mae Rheoliadau Gwasanaethau Mabwysiadu Rheoleiddiedig (Darparwyr Gwasanaethau ac Unigolion Cyfrifol) (Cymru) 2019 ("y Rheoliadau Gwasanaethau Mabwysiadu") yn darparu ei bod yn drosedd i ddarparwyr gwasanaethau ac unigolion cyfrifol dynodedig ar gyfer gwasanaethau mabwysiadu rheoleiddiedig fethu â chydymffurfio ag unrhyw un neu ragor o'r darpariaethau a bennir yn rheoliadau 54 a 55 yn y drefn honno o'r Rheoliadau hynny.

Mae Rheoliadau Gwasanaethau Lleoli Oedolion (Darparwyr Gwasanaethau ac Unigolion Cyfrifol) (Cymru) 2019 ("y Rheoliadau Gwasanaethau Lleoli Oedolion") yn darparu ei bod yn drosedd i ddarparwyr gwasanaethau ac unigolion cyfrifol dynodedig ar gyfer gwasanaethau lleoli oedolion rheoleiddiedig fethu â chydymffurfio ag unrhyw un neu ragor o'r darpariaethau a bennir yn rheoliadau 64 a 65 yn y drefn honno o'r Rheoliadau hynny.

Mae Rheoliadau Gwasanaethau Eirioli Rheoleiddiedig (Darparwyr Gwasanaethau ac Unigolion Cyfrifol) (Cymru) 2019 ("y Rheoliadau Gwasanaethau Eirioli") yn darparu ei bod yn drosedd i ddarparwyr gwasanaethau ac unigolion cyfrifol dynodedig ar gyfer gwasanaethau eirioli rheoleiddiedig fethu â chydymffurfio ag unrhyw un neu ragor o'r darpariaethau a bennir yn rheoliadau 55 a 56 yn y drefn honno o'r Rheoliadau hynny.

Section 45 of the Act enables the Welsh Ministers to make regulations providing that it is an offence for a service provider to fail to comply with a specified provision of regulations made under section 27. Under section 46 of the Act, the Welsh Ministers may also make regulations providing that it is an offence for a responsible individual to fail to comply with a specified provision of regulations made under section 28 of the Act.

The Regulated Services (Service Providers and Responsible Individuals) (Wales) Regulations 2017 ("the 2017 Regulations") provide that it is an offence for service providers and designated responsible individuals of regulated care home services, secure accommodation services, residential family centre services and domiciliary support services to fail to comply with any of the provisions specified in regulations 85 and 86 respectively of those Regulations.

The Regulated Adoption Services (Service Providers and Responsible Individuals) (Wales) Regulations 2019 ("the Adoption Services Regulations") provide that it is an offence for service providers and designated responsible individuals of regulated adoption services to fail to comply with any of the provisions specified in regulations 54 and 55 respectively of those Regulations.

The Adult Placement Services (Service Providers and Responsible Individuals) (Wales) Regulations 2019 ("the Adult Placement Services Regulations") provide that it is an offence for service providers and designated responsible individuals of regulated adult placement services to fail to comply with any of the provisions specified in regulations 64 and 65 respectively of those Regulations.

The Regulated Advocacy Services (Service Providers and Responsible Individuals) (Wales) Regulations 2019 ("the Advocacy Services Regulations") provide that it is an offence for service providers and designated responsible individuals of regulated advocacy services to fail to comply with any of the provisions specified in regulations 55 and 56 respectively of those Regulations.

Mae Rheoliadau Gwasanaethau Maethu Rheoleiddiedig (Darparwyr Gwasanaethau ac Unigolion Cyfrifol) (Cymru) 2019 (“y Rheoliadau Gwasanaethau Maethu”) yn darparu ei bod yn drosedd i ddarparwyr gwasanaethau ac unigolion cyfrifol dynodedig ar gyfer gwasanaethau maethu rheoleiddiedig fethu â chydymffurfio ag unrhyw un neu ragor o’r darpariaethau a bennir yn rheoliadau 68 a 69 yn y drefn honno o’r Rheoliadau hynny.

Mae adran 52(1) o’r Ddeddf yn rhoi’r pŵer i Weinidogion Cymru i roi hysbysiad cosb i berson yn lle dwyn achos am drosedd, ond dim ond mewn perthynas â’r troseddau hynny a ragnodir mewn rheoliadau. O dan adran 52(2), dim ond troseddau o dan adrannau 47 (datganiadau anwir), 48 (methiant i gyflwyno datganiad blynyddol) neu 49 (methiant i ddarparu gwybodaeth) neu o dan reoliadau a wneir o dan adrannau 45 neu 46 o’r Ddeddf y caniateir iddynt gael eu rhagnodi felly.

Mae’r Rheoliadau hyn yn rhagnodi’r troseddau y caniateir i hysbysiad cosb gael ei roi i berson ar eu cyfer yn lle dwyn achos mewn perthynas â’r drosedd.

Mae rheoliadau 3 a 4 yn rhagnodi’r troseddau yn y Ddeddf y caiff Gweinidogion Cymru roi hysbysiad cosb i berson mewn cysylltiad â hwy. Mae’r Rheoliadau hyn hefyd yn pennu swm y gosb sy’n daladwy mewn cysylltiad â phob un o’r troseddau rhagnodedig.

Mynegir swm y gosb sy’n daladwy mewn cysylltiad â phob un o’r troseddau a ragnodir yn y Rheoliadau hyn fel lluosrifau o’r swm sy’n cyfateb i lefel 4 ar y raddfa safonol (ac maent yn amrywio rhwng lluosrifau o un i ddwywaith a hanner).

Mae rheoliad 5 a’r golofn gyntaf yn y tabl yn Atodlen 1 yn rhagnodi’r troseddau yn Rheoliadau 2017 y caiff Gweinidogion Cymru roi hysbysiad cosb i’r darparwr gwasanaeth neu i’r unigolyn cyfrifol dynodedig mewn cysylltiad â hwy. Mae’r ail golofn a’r drydedd golofn yn cynnwys disgrifiad o’r drosedd ragnodedig a swm y gosb sy’n daladwy mewn cysylltiad â phob trosedd.

Mae rheoliad 6 a’r golofn gyntaf yn y tabl yn Atodlen 2 yn rhagnodi’r troseddau yn y Rheoliadau Gwasanaethau Mabwysiadu y caiff Gweinidogion Cymru roi hysbysiad cosb i’r darparwr gwasanaeth neu i’r unigolyn cyfrifol dynodedig ar eu cyfer. Mae’r ail golofn a’r drydedd golofn yn cynnwys disgrifiad o’r drosedd ragnodedig a swm y gosb sy’n daladwy mewn cysylltiad â phob trosedd.

The Regulated Fostering Services (Service Providers and Responsible Individuals) (Wales) Regulations 2019 (“the Fostering Services Regulations”) provide that it is an offence for service providers and designated responsible individuals of regulated fostering services to fail to comply with any of the provisions specified in regulations 68 and 69 respectively of those Regulations.

Section 52(1) of the Act gives the Welsh Ministers the power to give a penalty notice to a person instead of bringing proceedings for an offence, but only in relation to those offences that are prescribed in regulations. Under section 52(2), only offences under sections 47 (false statements), 48 (failure to submit annual return) or 49 (failure to provide information) or under regulations made under sections 45 or 46 of the Act may be so prescribed.

These Regulations prescribe the offences for which a penalty notice may be given to a person instead of proceedings being brought in relation to the offence.

Regulations 3 and 4 prescribe the offences in the Act in respect of which the Welsh Ministers may give a penalty notice to a person. These Regulations also specify the amount of the penalty payable in respect of each of the prescribed offences.

The amount of the penalty payable in respect of each of the offences prescribed in these Regulations is expressed as multiples of the amount corresponding to level 4 on the standard scale (and range between multiples of one to two and a half times).

Regulation 5 and the first column in the table in Schedule 1 prescribe the offences in the 2017 Regulations in respect of which the Welsh Ministers may give a penalty notice to the service provider or designated responsible individual. The second and third columns contain a description of the prescribed offence and the amount of the penalty payable in respect of each offence.

Regulation 6 and the first column in the table in Schedule 2 prescribe the offences in the Adoption Services Regulations for which the Welsh Ministers may give a penalty notice to the service provider or designated responsible individual. The second and third columns contain a description of the prescribed offence and the amount of the penalty payable in respect of each offence.

Mae rheoliad 7 a'r golofn gyntaf yn y tabl yn Atodlen 3 yn rhagnodi'r troseddau yn y Rheoliadau Gwasanaethau Lleoli Oedolion y caiff Gweinidogion Cymru roi hysbysiad cosb i'r darparwr gwasanaeth neu i'r unigolyn cyfrifol dynodedig ar eu cyfer. Mae'r ail golofn a'r drydedd golofn yn cynnwys disgrifiad o'r drosedd ragnodedig a swm y gosb sy'n daladwy mewn cysylltiad â phob trosedd.

Mae rheoliad 8 a'r golofn gyntaf yn y tabl yn Atodlen 4 yn rhagnodi'r troseddau yn y Rheoliadau Gwasanaethau Eirioli y caiff Gweinidogion Cymru roi hysbysiad cosb i'r darparwr gwasanaeth neu i'r unigolyn cyfrifol dynodedig ar eu cyfer. Mae'r ail golofn a'r drydedd golofn yn cynnwys disgrifiad o'r drosedd ragnodedig a swm y gosb sy'n daladwy mewn cysylltiad â phob trosedd.

Mae rheoliad 9 a'r golofn gyntaf yn y tabl yn Atodlen 5 yn rhagnodi'r troseddau yn y Rheoliadau Gwasanaethau Maethu y caiff Gweinidogion Cymru roi hysbysiad cosb i'r darparwr gwasanaeth neu i'r unigolyn cyfrifol dynodedig ar eu cyfer. Mae'r ail golofn a'r drydedd golofn yn cynnwys disgrifiad o'r drosedd ragnodedig a swm y gosb sy'n daladwy mewn cysylltiad â phob trosedd.

Mae rheoliadau 10 ac 11 yn gwneud darpariaeth ynghylch yr amser erbyn pryd y mae rhaid talu hysbysiad cosb ac yn pennu'r ffordd y caniateir i swm gael ei dalu ynddi.

Mae rheoliad 12 yn gwneud darpariaeth ynghylch y cyfnod pan na chaniateir i achos gael ei gychwyn am y drosedd y mae'r hysbysiad cosb yn ymwneud â hi.

Mae rheoliad 13 yn gwneud darpariaeth ynghylch yr amgylchiadau pan ganiateir i hysbysiad cosb gael ei dynnu'n ôl wedi iddo gael ei roi, canlyniadau'r tynnu'n ôl hwnnw, ac yn pennu pa bryd y caniateir i achos gael ei gychwyn neu ei barhau mewn cysylltiad â'r drosedd y mae'r hysbysiad cosb yn ymwneud â hi.

Mae rheoliad 14 yn nodi'r gofynion ar gyfer cynnwys hysbysiad cosb.

Mae rheoliad 15 yn nodi'r gofynion o ran y cofnodion sydd i'w cadw gan Weinidogion Cymru mewn cysylltiad ag unrhyw hysbysiad cosb a roddir.

Mae rheoliad 16 yn dirymu Rheoliadau Gwasanaethau Rheoleiddiedig (Hysbysiaid Cosb) (Cymru) 2017.

Ystyriwyd Cod Ymarfer Gweinidogion Cymru ar gynnal Aseidiadau Effaith Rheoleiddiol mewn perthynas â'r Rheoliadau hyn. O ganlyniad, lluniwyd aseidiad effaith rheoleiddiol o'r costau a'r manteision sy'n debygol o ddeillio o gydymffurfio â'r Rheoliadau hyn. Gellir cael copi oddi wrth: Yr Adran Iechyd a Gwasanaethau Cymdeithasol, Llywodraeth Cymru, Parc Cathays, Caerdydd, CF10 3NQ.

Regulation 7 and the first column in the table in Schedule 3 prescribe the offences in the Adult Placement Services Regulations for which the Welsh Ministers may give a penalty notice to the service provider or designated responsible individual. The second and third columns contain a description of the prescribed offence and the amount of the penalty payable in respect of each offence.

Regulation 8 and the first column in the table in Schedule 4 prescribe the offences in the Advocacy Services Regulations for which the Welsh Ministers may give a penalty notice to the service provider or designated responsible individual. The second and third columns contain a description of the prescribed offence and the amount of the penalty payable in respect of each offence.

Regulation 9 and the first column in the table in Schedule 5 prescribe the offences in the Fostering Services Regulations for which the Welsh Ministers may give a penalty notice to the service provider or designated responsible individual. The second and third columns contain a description of the prescribed offence and the amount of the penalty payable in respect of each offence.

Regulations 10 and 11 make provision about the time by which a penalty notice must be paid and specify the way in which a payment may be made.

Regulation 12 makes provision about the period during which proceedings may not be instituted for the offence to which the penalty notice relates.

Regulation 13 makes provision about the circumstances in which a penalty notice, once given, may be withdrawn, the consequences of such withdrawal, and specifies when proceedings may be instituted or continued in respect of the offence to which the penalty notice relates.

Regulation 14 sets out the requirements for the content of a penalty notice.

Regulation 15 sets out the record-keeping requirements of the Welsh Ministers in respect of any penalty notice that is given.

Regulation 16 revokes the Regulated Services (Penalty Notices) (Wales) Regulations 2017.

The Welsh Ministers' Code of Practice on the carrying on of Regulatory Impact Assessments was considered in relation to these Regulations. As a result, a regulatory impact assessment has been prepared as to the likely costs and benefits of complying with these Regulations. A copy can be obtained from the Department of Health and Social Services, Welsh Government, Cathays Park, Cardiff, CF10 3NQ.

2019 Rhif 887 (Cy. 159)

2019 No. 887 (W. 159)

**GOFAL CYMDEITHASOL,
CYMRU**

SOCIAL CARE, WALES

**Rheoliadau Gwasanaethau
Rheoleiddiedig (Hysbysiadau Cosb)
(Cymru) 2019**

**The Regulated Services (Penalty
Notices) (Wales) Regulations 2019**

Gwnaed 25 Ebrill 2019

Made 25 April 2019

*Gosodwyd gerbron Cynulliad Cenedlaethol
Cymru* 26 Ebrill 2019

Laid before the National Assembly for Wales
26 April 2019

Yn dod i rym 1 Gorffennaf 2019

Coming into force 1 July 2019

Mae Gweinidogion Cymru, drwy arfer y pwerau a roddir gan adrannau 52(1) a (6) a 187(1) o Ddeddf Rheoleiddio ac Arolygu Gofal Cymdeithasol (Cymru) 2016(1), yn gwneud y Rheoliadau a ganlyn(2):

The Welsh Ministers, in exercise of the powers conferred by sections 52(1) and (6) and 187(1) of the Regulation and Inspection of Social Care (Wales) Act 2016(1), make the following Regulations(2):

Enwi a chychwyn

1.—(1) Enw'r Rheoliadau hyn yw Rheoliadau Gwasanaethau Rheoleiddiedig (Hysbysiadau Cosb) (Cymru) 2019.

(2) Daw'r Rheoliadau hyn i rym ar 1 Gorffennaf 2019.

Title and commencement

1.—(1) The title of these Regulations is the Regulated Services (Penalty Notices) (Wales) Regulations 2019.

(2) These Regulations come into force on 1 July 2019.

Dehongli

2. Yn y Rheoliadau hyn—

mae i “cyfnod talu” (“*payment period*”) yr ystyr a roddir yn rheoliad 10;

ystyr “darparwr gwasanaeth” (“*service provider*”) yw person y mae ei gais i gofrestru fel darparwr gwasanaeth rheoleiddiedig wedi cael ei ganiatáu o dan adran 7(1) o'r Ddeddf;

Interpretation

2. In these Regulations—

“the Act” (“*y Ddeddf*”) means the Regulation and Inspection of Social Care (Wales) Act 2016;

“the 2017 Regulations” (“*Rheoliadau 2017*”) means the Regulated Services (Service Providers and Responsible Individuals) (Wales) Regulations 2017(3);

(1) 2016 dccc 2.

(2) *Gweler* adran 189 o'r Ddeddf am y diffiniad o “a ragnodir” a “rhagnodedig”.

(1) 2016 anaw 2.

(2) *See* section 189 of the Act for the definition of “prescribed”.

(3) S.I. 2017/1264 (W. 295).

ystyr “derbynnydd” (“*recipient*”) yw person y rhoddir hysbysiad cosb iddo yn unol ag adran 52 o’r Ddeddf;

ystyr “y Ddeddf” (“*the Act*”) yw Deddf Rheoleiddio ac Arolygu Gofal Cymdeithasol (Cymru) 2016;

ystyr “hysbysiad cosb” (“*penalty notice*”) yw hysbysiad cosb a roddir yn unol ag adran 52 o’r Ddeddf;

ystyr “Rheoliadau 2017” (“*the 2017 Regulations*”) yw Rheoliadau Gwasanaethau Rheoleiddiedig (Darparwyr Gwasanaethau ac Unigolion Cyfrifol) (Cymru) 2017(1);

ystyr “y Rheoliadau Gwasanaethau Eirioli” (“*the Advocacy Services Regulations*”) yw Rheoliadau Gwasanaethau Eirioli Rheoleiddiedig (Darparwyr Gwasanaethau ac Unigolion Cyfrifol) (Cymru) 2019(2);

ystyr “y Rheoliadau Gwasanaethau Lleoli Oedolion” (“*the Adult Placement Services Regulations*”) yw Rheoliadau Gwasanaethau Lleoli Oedolion (Darparwyr Gwasanaethau ac Unigolion Cyfrifol) (Cymru) 2019(3);

ystyr “y Rheoliadau Gwasanaethau Mabwysiadu” (“*the Adoption Services Regulations*”) yw Rheoliadau Gwasanaethau Mabwysiadu Rheoleiddiedig (Darparwyr Gwasanaethau ac Unigolion Cyfrifol) (Cymru) 2019(4);

ystyr “y Rheoliadau Gwasanaethau Maethu” (“*the Fostering Services Regulations*”) yw Rheoliadau Gwasanaethau Maethu Rheoleiddiedig (Darparwyr Gwasanaethau ac Unigolion Cyfrifol) (Cymru) 2019(5);

ystyr “trosedd” (“*offence*”) yw trosedd ragnodedig.

Troseddau o dan y Ddeddf

3. Mae trosedd a gyflawnir o dan adran 47 (gwneud datganiadau anwir) o’r Ddeddf wedi ei rhagnodi’n trosedd at ddibenion adran 52(1) o’r Ddeddf honno. Y gosb i’w thalu yw swm sy’n cyfateb i ddwywaith a hanner lefel 4 ar y raddfa safonol(6).

(1) O.S. 2017/1264 (Cy. 295).

(2) O.S. 2019/165 (Cy. 41).

(3) O.S. 2019/163 (Cy. 40).

(4) O.S. 2019/762 (Cy. 145)

(5) O.S. 2019/169 (Cy. 42).

(6) *Gweler* adran 37 o Ddeddf Cyfiawnder Troseddol 1982 (p. 48) (“Deddf 1982”); ar y dyddiad y daw’r Rheoliadau hyn i rym, mae lefel 4 ar y raddfa safonol wedi ei phennu’n £2,500 (caniateir i’r ffigur hwn gael ei gynyddu yn rhinwedd diwygiad i Ddeddf 1982).

“the Adoption Services Regulations” (“*y Rheoliadau Gwasanaethau Mabwysiadu*”) means the Regulated Adoption Services (Service Providers and Responsible Individuals) (Wales) Regulations 2019(1);

“the Adult Placement Services Regulations” (“*y Rheoliadau Gwasanaethau Lleoli Oedolion*”) means the Adult Placement Services (Service Providers and Responsible Individuals) (Wales) Regulations 2019(2);

“the Advocacy Services Regulations” (“*y Rheoliadau Gwasanaethau Eirioli*”) means the Regulated Advocacy Services (Service Providers and Responsible Individuals) (Wales) Regulations 2019(3);

“the Fostering Services Regulations” (“*y Rheoliadau Gwasanaethau Maethu*”) means the Regulated Fostering Services (Service Providers and Responsible Individuals) (Wales) Regulations 2019(4);

“offence” (“*trosedd*”) means a prescribed offence;

“payment period” (“*cyfnod talu*”) has the meaning given in regulation 10;

“penalty notice” (“*hysbysiad cosb*”) means a penalty notice given pursuant to section 52 of the Act;

“recipient” (“*derbynnydd*”) means a person to whom a penalty notice is given in accordance with section 52 of the Act;

“service provider” (“*darparwr gwasanaeth*”) means a person whose application for registration as provider of a regulated service has been granted under section 7(1) of the Act.

Offences under the Act

3. An offence committed under section 47 (making false statements) of the Act is prescribed as an offence for the purposes of section 52(1) of that Act. The penalty to be paid is an amount corresponding to two and a half times level 4 on the standard scale(5).

(1) S.I. 2019/762 (W. 145).

(2) S.I. 2019/163 (W. 40).

(3) S.I. 2019/165 (W. 41).

(4) S.I. 2019/169 (W. 42).

(5) *See* section 37 of the Criminal Justice Act 1982 (c. 48) (“the 1982 Act”); at the date of the coming into force of these Regulations, level 4 on the standard scale is set at £2,500 (this figure may be increased by virtue of an amendment to the 1982 Act).

4. Mae trosedd a gyflawnir o dan adran 48 (methiant i gyflwyno datganiad blynyddol) neu 49 (methiant i ddarparu gwybodaeth) o'r Ddeddf wedi ei rhagnodi'n drosedd at ddibenion adran 52(1) o'r Ddeddf honno. Y gosb i'w thalu yw swm sy'n cyfateb i lefel 4 ar y raddfa safonol.

Troseddau o dan Reoliadau 2017

5.—(1) Mae'r troseddau o dan ddarpariaethau Rheoliadau 2017 a restrir yng ngholofn gyntaf y tabl yn Atodlen 1 wedi eu rhagnodi'n droseddau at ddibenion adran 52(1) o'r Ddeddf.

(2) Mae ail golofn y tabl yn Atodlen 1 yn cynnwys disgrifiad o'r drosedd ragnodedig.

(3) Mae swm y gosb sydd i'w dalu ar gyfer pob trosedd wedi ei bennu yn nhrydedd golofn y tabl yn Atodlen 1.

Troseddau o dan y Rheoliadau Gwasanaethau Mabwysiadu

6.—(1) Mae'r troseddau o dan ddarpariaethau'r Rheoliadau Gwasanaethau Mabwysiadu a restrir yng ngholofn gyntaf y tabl yn Atodlen 2 wedi eu rhagnodi'n droseddau at ddibenion adran 52(1) o'r Ddeddf.

(2) Mae ail golofn y tabl yn Atodlen 2 yn cynnwys disgrifiad o'r drosedd ragnodedig.

(3) Mae swm y gosb sydd i'w dalu ar gyfer pob trosedd wedi ei bennu yn nhrydedd golofn y tabl yn Atodlen 2.

Troseddau o dan y Rheoliadau Gwasanaethau Lleoli Oedolion

7.—(1) Mae'r troseddau o dan ddarpariaethau'r Rheoliadau Gwasanaethau Lleoli Oedolion a restrir yng ngholofn gyntaf y tabl yn Atodlen 3 wedi eu rhagnodi'n droseddau at ddibenion adran 52(1) o'r Ddeddf.

(2) Mae ail golofn y tabl yn Atodlen 3 yn cynnwys disgrifiad o'r drosedd ragnodedig.

(3) Mae swm y gosb sydd i'w dalu ar gyfer pob trosedd wedi ei bennu yn nhrydedd golofn y tabl yn Atodlen 3.

Troseddau o dan y Rheoliadau Gwasanaethau Eirioli

8.—(1) Mae'r troseddau o dan ddarpariaethau'r Rheoliadau Gwasanaethau Eirioli a restrir yng ngholofn gyntaf y tabl yn Atodlen 4 wedi eu rhagnodi'n droseddau at ddibenion rheoliad 12.

4. An offence committed under section 48 (failure to submit an annual return) or 49 (failure to provide information) of the Act is prescribed as an offence for the purposes of section 52(1) of that Act. The penalty to be paid is an amount corresponding to level 4 on the standard scale.

Offences under the 2017 Regulations

5.—(1) The offences under the provisions of the 2017 Regulations listed in the first column of the table in Schedule 1 are prescribed as offences for the purposes of section 52(1) of the Act.

(2) The second column of the table in Schedule 1 contains a description of the prescribed offence.

(3) The amount of the penalty to be paid for each offence is specified in the third column of the table in Schedule 1.

Offences under the Adoption Services Regulations

6.—(1) The offences under the provisions of the Adoption Services Regulations listed in the first column of the table in Schedule 2 are prescribed as offences for the purposes of section 52(1) of the Act.

(2) The second column of the table in Schedule 2 contains a description of the prescribed offence.

(3) The amount of the penalty to be paid for each offence is specified in the third column of the table in Schedule 2.

Offences under the Adult Placement Services Regulations

7.—(1) The offences under the provisions of the Adult Placement Services Regulations listed in the first column of the table in Schedule 3 are prescribed as offences for the purposes of section 52(1) of the Act.

(2) The second column of the table in Schedule 3 contains a description of the prescribed offence.

(3) The amount of the penalty to be paid for each offence is specified in the third column of the table in Schedule 3.

Offences under the Advocacy Services Regulations

8.—(1) The offences under the provisions of the Advocacy Services Regulations listed in the first column of the table in Schedule 4 are prescribed as offences for the purposes of regulation 12.

(2) Mae ail golofn y tabl yn Atodlen 4 yn cynnwys disgrifiad o'r drosedd ragnodedig.

(3) Mae swm y gosb sydd i'w dalu ar gyfer pob trosedd wedi ei bennu yn nhrydedd golofn y tabl yn Atodlen 4.

Troseddau o dan y Rheoliadau Gwasanaethau Maethu

9.—(1) Mae'r troseddau o dan ddarpariaethau'r Rheoliadau Gwasanaethau Maethu a restrir yng ngholofn gyntaf y tabl yn Atodlen 5 wedi eu rhagnodi'n droseddau at ddibenion adran 52(1) o'r Ddeddf.

(2) Mae ail golofn y tabl yn Atodlen 5 yn cynnwys disgrifiad o'r drosedd ragnodedig.

(3) Mae swm y gosb sydd i'w dalu ar gyfer pob trosedd wedi ei bennu yn nhrydedd golofn y tabl yn Atodlen 5.

Y cyfnod ar gyfer talu'r gosb

10.—(1) Yr amser erbyn pryd y mae'r gosb a bennir mewn hysbysiad cosb i'w thalu yw diwedd y cyfnod o 28 o ddiwrnodau sy'n dechrau â'r dyddiad y ceir yr hysbysiad ("cyfnod talu").

(2) Mae adran 184 o'r Ddeddf(1) yn gymwys i hysbysiad cosb fel y mae'n gymwys i hysbysiad y mae'n ofynnol ei roi o dan y Ddeddf.

Talu'r gosb

11.—(1) Rhaid talu'r gosb a bennir mewn hysbysiad cosb i Weinidogion Cymru drwy'r dull a bennir yn yr hysbysiad.

(2) Mewn unrhyw achos, mae tystysgrif yr honnir ei bod wedi ei llofnodi gan Weinidogion Cymru neu ar eu rhan, sy'n datgan i daliad cosb ddod i law neu na ddaeth i law erbyn y dyddiad a bennir yn y dystysgrif, yn dystiolaeth o'r ffeithiau a ddatgenir.

(2) The second column of the table in Schedule 4 contains a description of the prescribed offence.

(3) The amount of the penalty to be paid for each offence is specified in the third column of the table in Schedule 4.

Offences under the Fostering Services Regulations

9.—(1) The offences under the provisions of the Fostering Services Regulations listed in the first column of the table in Schedule 5 are prescribed as offences for the purposes of section 52(1) of the Act.

(2) The second column of the table in Schedule 5 contains a description of the prescribed offence.

(3) The amount of the penalty to be paid for each offence is specified in the third column of the table in Schedule 5.

Period for payment of the penalty

10.—(1) The time by which the penalty specified in a penalty notice is to be paid is the end of the period of 28 days beginning with the date of receipt of the notice ("payment period").

(2) Section 184 of the Act(1) applies to a penalty notice as it applies to a notice required to be given under the Act.

Payment of the penalty

11.—(1) Payment of the penalty specified in a penalty notice must be made to the Welsh Ministers by the method specified in the notice.

(2) In any proceedings a certificate purporting to be signed by or on behalf of the Welsh Ministers stating that payment of a penalty was or was not received by the date specified in the certificate is evidence of the facts stated.

(1) Mae adran 184 o'r Ddeddf (cyflwyno dogfennau etc.) yn pennu y caniateir i hysbysiadau gael eu traddodi â llaw, cael eu gadael yng nghyfeiriad y derbynnydd, cael eu hanfon drwy'r gwasanaeth danfon cofnodedig neu, os yw'r derbynnydd wedi cytuno i'w cael ar ffurf electronig, drwy gael eu hanfon yn electronig i gyfeiriad a ddarperir at y diben hwnnw; mae is-adran (8) yn darparu pan fo hysbysiad yn cael ei anfon drwy'r gwasanaeth danfon cofnodedig neu'n electronig fod rhaid barnu bod yr hysbysiad wedi ei gael 48 awr ar ôl iddo gael ei anfon (oni ddangosir i'r gwrthwyneb).

(1) Section 184 of the Act (service of documents etc.) specifies that notices may be hand delivered, may be left at a recipient's address, sent by recorded delivery or, if the recipient has agreed to receive it electronically, by being sent electronically to an address provided for that purpose; subsection (8) provides that where a notice is sent by recorded delivery or electronically it is to be taken to have been received 48 hours after it is sent (unless the contrary is shown).

Y cyfnod pan na chaniateir i achos gael ei gychwyn

12. Pan fo derbynnydd yn cael hysbysiad cosb, ni chaniateir i achos am y drosedd y mae'r hysbysiad yn ymwneud â hi gael ei gychwyn yn erbyn y derbynnydd cyn diwedd y cyfnod talu.

Tynnu hysbysiad cosb yn ôl

13.—(1) Caiff Gweinidogion Cymru dynnu hysbysiad cosb yn ôl drwy roi hysbysiad ysgrifenedig o'r tynnu'n ôl i'r derbynnydd—

- (a) os yw Gweinidogion Cymru yn penderfynu—
 - (i) na ddylai fod wedi cael ei roi, neu
 - (ii) na ddylai fod wedi cael ei roi i'r person a enwir fel y derbynnydd; neu
- (b) os yw'n ymddangos i Weinidogion Cymru fod yr hysbysiad yn cynnwys gwallau perthnasol.

(2) Caniateir i hysbysiad cosb gael ei dynnu'n ôl yn unol â pharagraff (1) pa un a yw'r cyfnod talu wedi dod i ben ai peidio, a pha un a yw'r gosb wedi cael ei thalu ai peidio.

(3) Pan fo hysbysiad cosb wedi cael ei dynnu'n ôl yn unol â pharagraff (1), rhaid i Weinidogion Cymru adalu unrhyw swm sydd wedi ei dalu fel cosb yn unol â'r hysbysiad hwnnw, i'r person a'i talodd.

(4) Ac eithrio fel y darperir ym mharagraff (5), ni chaniateir i achos gael ei gychwyn neu ei barhau yn erbyn derbynnydd am y drosedd y mae'r hysbysiad cosb yn ymwneud â hi pan fo'r hysbysiad wedi cael ei dynnu'n ôl yn unol â pharagraff (1).

(5) Pan fo hysbysiad cosb wedi cael ei dynnu'n ôl o dan baragraff (1)(b), caniateir i achos gael ei gychwyn neu ei barhau am y drosedd y rhoddwyd yr hysbysiad cosb hwnnw mewn cysylltiad â hi os yw hysbysiad cosb pellach wedi cael ei roi mewn cysylltiad â'r drosedd ac nad yw'r gosb wedi ei thalu cyn diwedd y cyfnod talu.

Cynnwys hysbysiad cosb

14.—(1) Rhaid i hysbysiad cosb roi'r manylion hynny am yr amgylchiadau yr honnir eu bod yn drosedd y mae'n ymddangos i Weinidogion Cymru eu bod yn rhesymol ofynnol i roi gwybodaeth i'r derbynnydd amdani.

- (2) Rhaid i hysbysiad cosb ddatgan—
 - (a) enw a chyfeiriad y derbynnydd;
 - (b) swm y gosb;
 - (c) y cyfnod talu;

Period during which proceedings may not be instituted

12. Where a recipient is given a penalty notice, proceedings for the offence to which the notice relates may not be instituted against the recipient before the expiry of the payment period.

Withdrawal of penalty notice

13.—(1) The Welsh Ministers may withdraw a penalty notice by giving written notice of the withdrawal to the recipient if—

- (a) the Welsh Ministers determine that—
 - (i) it ought not to have been given, or
 - (ii) it ought not to have been given to the person named as the recipient; or
- (b) it appears to the Welsh Ministers that the notice contains material errors.

(2) A penalty notice may be withdrawn in accordance with paragraph (1) whether or not the payment period has expired, and whether or not the penalty has been paid.

(3) Where a penalty notice has been withdrawn in accordance with paragraph (1), the Welsh Ministers must repay any amount paid by way of penalty in pursuance of that notice to the person who paid it.

(4) Except as provided in paragraph (5), no proceedings may be instituted or continued against a recipient for the offence to which the penalty notice relates where the notice has been withdrawn in accordance with paragraph (1).

(5) Where a penalty notice has been withdrawn under paragraph (1)(b), proceedings may be instituted or continued for the offence in connection with which that penalty notice was given if a further penalty notice in respect of the offence has been given and the penalty has not been paid before the expiry of the payment period.

Content of penalty notice

14.—(1) A penalty notice must give such details of the circumstances alleged to constitute the offence as seem to the Welsh Ministers to be reasonably required to give the recipient information about it.

- (2) A penalty notice must state—
 - (a) the name and address of the recipient;
 - (b) the amount of the penalty;
 - (c) the payment period;

- (d) y bydd talu o fewn y cyfnod hwnnw yn rhyddhau unrhyw atebolrwydd am y drosedd;
- (e) y cyfnod pan na fydd achos yn cael ei ddwyn mewn cysylltiad â'r drosedd y mae'r hysbysiad yn ymwneud â hi;
- (f) y canlyniadau os na chaiff y gosb ei thalu cyn i'r cyfnod ar gyfer ei thalu ddod i ben;
- (g) y person y caniateir i'r gosb gael ei thalu iddo a'r cyfeiriad lle y caniateir ei thalu ac y caniateir anfon unrhyw ohebiaeth am yr hysbysiad cosb iddo;
- (h) y dulliau a ganiateir ar gyfer talu'r gosb;
- (i) ar ba seiliau y caniateir i'r hysbysiad cosb gael ei dynnu'n ôl.

- (d) that payment within that period will discharge any liability for the offence;
- (e) the period within which proceedings in respect of the offence to which the notice relates will not be brought;
- (f) the consequences of the penalty not being paid before the expiry of the period for paying it;
- (g) the person to whom and the address at which the penalty may be paid and to which any correspondence about the penalty notice may be sent;
- (h) the means by which payment of the penalty may be made;
- (i) the grounds on which the penalty notice may be withdrawn.

Cofnodion

15. Rhaid i Weinidogion Cymru gadw cofnod o unrhyw hysbysiadau cosb a roddir, y mae rhaid iddo gynnwys—

- (a) copi o bob hysbysiad cosb a roddir;
- (b) cofnod o'r holl daliadau a wnaed a'r dyddiad pan y'u derbyniwyd;
- (c) manylion unrhyw hysbysiad cosb sydd wedi ei dynnu'n ôl a'r seiliau dros hynny;
- (d) manylion ynghylch a gafodd y derbynnydd ei erlyn am y drosedd y rhoddwyd yr hysbysiad cosb ar ei chyfer.

Records

15. The Welsh Ministers must keep a record of any penalty notices given, which must include—

- (a) a copy of each penalty notice given;
- (b) a record of all payments made and the dates upon which they were received;
- (c) details of any penalty notice which was withdrawn and the grounds for its withdrawal;
- (d) details of whether the recipient was prosecuted for the offence for which the penalty notice was given.

Dirymu

16. Mae Rheoliadau Gwasanaethau Rheoleiddiedig (Hysbysiadau Cosb) (Cymru) 2017(1) wedi eu dirymu.

Revocation

16. The Regulated Services (Penalty Notices) (Wales) Regulations 2017(1) are revoked.

Julie Morgan

Y Dirprwy Weinidog Iechyd a Gwasanaethau Cymdeithasol, o dan awdurdod y Gweinidog Iechyd a Gwasanaethau Cymdeithasol, un o Weinidogion Cymru
25 Ebrill 2019

Deputy Minister for Health and Social Services, under authority of the Minister for Health and Social Services, one of the Welsh Ministers

25 April 2019

Troseddau rhagnodedig – gwasanaethau a reoleiddir o dan Reoliadau 2017

Prescribed offences – services regulated under the 2017 Regulations

<i>Y ddarpariaeth sy'n creu'r drosedd</i>	<i>Natur gyffredinol y drosedd</i>	<i>Swm y gosb</i>	<i>Provision creating offence</i>	<i>General nature of the offence</i>	<i>Amount of penalty</i>
Rheoliad 7(3) a (5) o Reoliadau 2017	Mynd yn groes i'r gofynion mewn perthynas â'r datganiad o ddiben, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith a hanner lefel 4 ar y raddfa safonol	Regulation 7(3) and (5) of the 2017 Regulations	Contravention of, or failure to comply with, requirements in relation to the statement of purpose	An amount corresponding to two and a half times level 4 on the standard scale
Rheoliad 11(3) o Reoliadau 2017	Mynd yn groes i'r gofynion mewn perthynas â chynaliadwyedd ariannol y gwasanaeth, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i lefel 4 ar y raddfa safonol	Regulation 11(3) of the 2017 Regulations	Contravention of, or failure to comply with, requirements in relation to the financial sustainability of the service	An amount corresponding to level 4 on the standard scale
Rheoliad 12(1) a (2) o Reoliadau 2017	Mynd yn groes i'r gofynion i gael polisïau a gweithdrefnau penodedig yn eu lle, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i lefel 4 ar y raddfa safonol	Regulation 12(1) and (2) of the 2017 Regulations	Contravention of, or failure to comply with, requirements to have in place specified policies and procedures	An amount corresponding to level 4 on the standard scale
Rheoliad 19(1), (2), (3) o Reoliadau 2017	Mynd yn groes i'r gofynion mewn perthynas â darparu gwybodaeth am y gwasanaeth, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 19(1), (2) and (3) of the 2017 Regulations	Contravention of, or failure to comply with, requirements in relation to the provision of information about the service	An amount corresponding to two times level 4 on the standard scale
Rheoliad 20(1) o Reoliadau 2017	Mynd yn groes i'r gofynion mewn perthynas â darparu cytundeb gwasanaeth, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i lefel 4 ar y raddfa safonol	Regulation 20(1) of the 2017 Regulations	Contravention of, or failure to comply with, requirements in relation to the provision of a service agreement	An amount corresponding to level 4 on the standard scale

Rheoliad 35(1) o Reoliadau 2017	Mynd yn groes i'r gofynion mewn perthynas ag addasrwydd staff, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith a hanner lefel 4 ar y raddfa safonol	Regulation 35(1) of the 2017 Regulations	Contravention of, or failure to comply with, requirements in relation to the fitness of staff	An amount corresponding to two and a half times level 4 on the standard scale
Rheoliad 38(1) o Reoliadau 2017	Mynd yn groes i'r gofynion mewn perthynas â darparu gwybodaeth ar gyfer staff, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 38(1) of the 2017 Regulations	Contravention of, or failure to comply with, requirements in relation to the provision of information for staff	An amount corresponding to two times level 4 on the standard scale
Rheoliad 59(1), (2) a (3) o Reoliadau 2017	Mynd yn groes i'r gofynion mewn perthynas â chofnodion, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 59(1), (2) and (3) of the 2017 Regulations	Contravention of, or failure to comply with, requirements in relation to records	An amount corresponding to two times level 4 on the standard scale
Rheoliad 60(1), (2) a (4) o Reoliadau 2017	Mynd yn groes i'r gofynion mewn perthynas â hysbysiadau, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 60(1), (2) and (4) of the 2017 Regulations	Contravention of, or failure to comply with, requirements in relation to notifications	An amount corresponding to two times level 4 on the standard scale
Rheoliad 67(1) o Reoliadau 2017	Mynd yn groes i'r gofynion mewn perthynas â dyletswydd unigolyn cyfrifol i benodi rheolwr, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith a hanner lefel 4 ar y raddfa safonol	Regulation 67(1) of the 2017 Regulations	Contravention of, or failure to comply with, requirements in relation to the duty of a responsible individual to appoint a manager	An amount corresponding to two and a half times level 4 on the standard scale
Rheoliad 74(1) a (2) o Reoliadau 2017	Mynd yn groes i'r gofynion mewn perthynas â dyletswydd unigolyn cyfrifol i adrodd am ddigonolrwydd yr adnoddau, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 74(1) and (2) of the 2017 Regulations	Contravention of, or failure to comply with, requirements in relation to the duty of a responsible individual to report on the adequacy of resources	An amount corresponding to two times level 4 on the standard scale

Rheoliad 75(1) o Reoliadau 2017	Mynd yn groes i'r gofynion mewn perthynas ag unigolyn cyfrifol yn gwneud adroddiadau eraill i'r darparwr gwasanaeth, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 75(1) of the 2017 Regulations	Contravention of, or failure to comply with, requirements in relation to the making by a responsible individual of other reports to the service provider	An amount corresponding to two times level 4 on the standard scale
Rheoliad 80(4) o Reoliadau 2017	Mynd yn groes i'r gofynion mewn perthynas â llunio gan unigolyn cyfrifol adroddiad mewn cysylltiad ag adolygiad o ansawdd y gofal, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 80(4) of the 2017 Regulations	Contravention of, or failure to comply with, requirements in relation to the preparation by a responsible individual of a report in respect of a quality of care review	An amount corresponding to two times level 4 on the standard scale
Rheoliad 81(1) o Reoliadau 2017	Mynd yn groes i'r gofynion mewn perthynas â llunio gan unigolyn cyfrifol ddatganiad o gydymffurfedd â'r gofynion o ran safonau gofal a chymorth, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 81(1) of the 2017 Regulations	Contravention of, or failure to comply with, requirements in relation to the preparation by a responsible individual of a statement of compliance with the requirements as to standards of care and support	An amount corresponding to two times level 4 on the standard scale
Rheoliad 84(1) a (3) o Reoliadau 2017	Mynd yn groes i'r gofynion mewn perthynas â dyletswydd yr unigolyn cyfrifol i wneud hysbysiadau i'r rheoleiddiwr gwasanaethau, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 84(1) and (3) of the 2017 Regulations	Contravention of, or failure to comply with requirements in relation to the responsible individual's duty to make notifications to the service regulator	An amount corresponding to two times level 4 on the standard scale

Troseddau rhagnodedig – gwasanaethau mabwysiadu rheoleiddiedig

Prescribed offences – regulated adoption services

<i>Y ddarpariaeth sy'n creu'r drosedd</i>	<i>Natur gyffredinol y drosedd</i>	<i>Swm y gosb</i>	<i>Provision creating offence</i>	<i>General nature of the offence</i>	<i>Amount of penalty</i>
Rheoliad 5(3) a (5) o'r Rheoliadau Gwasanaethau Mabwysiadu	Mynd yn groes i'r gofyniad i roi hysbysiad o ddiwygiad i'r datganiad o ddiben, neu fethiant i gydymffurfio ag ef	Swm sy'n cyfateb i ddwywaith a hanner lefel 4 ar y raddfa safonol	Regulation 5(3) and (5) of the Adoption Services Regulations	Contravention of, or failure to comply with, requirement to give notice of revision to the statement of purpose	An amount corresponding to two and a half times level 4 on the standard scale
Rheoliad 9(3) o'r Rheoliadau Gwasanaethau Mabwysiadu	Mynd yn groes i'r gofyniad i ddarparu copiâu o'r cyfrifon, neu fethiant i gydymffurfio ag ef	Swm sy'n cyfateb i lefel 4 ar y raddfa safonol	Regulation 9(3) of the Adoption Services Regulations	Contravention of, or failure to comply with, requirement to provide copy accounts	An amount corresponding to level 4 on the standard scale
Rheoliad 10(1) o'r Rheoliadau Gwasanaethau Mabwysiadu	Mynd yn groes i'r gofynion i gael polisïau a gweithdrefnau penodedig yn eu lle, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i lefel 4 ar y raddfa safonol	Regulation 10(1) of the Adoption Services Regulations	Contravention of, or failure to comply with, requirements to have in place specified policies and procedures	An amount corresponding to level 4 on the standard scale
Rheoliad 13(1), (2) a (3) o'r Rheoliadau Gwasanaethau Mabwysiadu	Mynd yn groes i'r gofynion mewn perthynas â darparu gwybodaeth am y gwasanaeth, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 13(1), (2) and (3) of the Adoption Services Regulations	Contravention of, or failure to comply with, requirements in relation to the provision of information about the service	An amount corresponding to two times level 4 on the standard scale
Rheoliad 14(1) o'r Rheoliadau Gwasanaethau Mabwysiadu	Mynd yn groes i'r gofynion mewn perthynas â darparu cytundeb gwasanaeth, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i lefel 4 ar y raddfa safonol	Regulation 14(1) of the Adoption Services Regulations	Contravention of, or failure to comply with, requirements in relation to the provision of a service agreement	An amount corresponding to level 4 on the standard scale

Rheoliad 23(1) o'r Rheoliadau Gwasanaethau Mabwysiadu	Mynd yn groes i'r gofynion mewn perthynas ag addasrwydd staff, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith a hanner lefel 4 ar y raddfa safonol	Regulation 23(1) of the Adoption Services Regulations	Contravention of, or failure to comply with, requirements in relation to the fitness of staff	An amount corresponding to two and a half times level 4 on the standard scale
Rheoliad 26(1) o'r Rheoliadau Gwasanaethau Mabwysiadu	Mynd yn groes i'r gofynion mewn perthynas â darparu gwybodaeth ar gyfer staff, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 26(1) of the Adoption Services Regulations	Contravention of, or failure to comply with, requirements in relation to the provision of information for staff	An amount corresponding to two times level 4 on the standard scale
Rheoliad 30(1) a (2) o'r Rheoliadau Gwasanaethau Mabwysiadu	Mynd yn groes i'r gofynion mewn perthynas â chofnodion, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 30(1) and (2) of the Adoption Services Regulations	Contravention of, or failure to comply with, requirements in relation to records	An amount corresponding to two times level 4 on the standard scale
Rheoliad 31(1), (2), (3) a (5) o'r Rheoliadau Gwasanaethau Mabwysiadu	Mynd yn groes i'r gofynion mewn perthynas â hysbysiadau, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 31(1), (2), (3) and (5) of the Adoption Services Regulations	Contravention of, or failure to comply with, requirements in relation to notifications	An amount corresponding to two times level 4 on the standard scale
Rheoliad 36(1) o'r Rheoliadau Gwasanaethau Mabwysiadu	Mynd yn groes i'r gofynion mewn perthynas â dyletswydd unigolyn cyfrifol i benodi rheolwr, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith a hanner lefel 4 ar y raddfa safonol	Regulation 36(1) of the Adoption Services Regulations	Contravention of, or failure to comply with, requirements in relation to the duty of a responsible individual to appoint a manager	An amount corresponding to two and a half times level 4 on the standard scale
Rheoliad 43(1) a (2) o'r Rheoliadau Gwasanaethau Mabwysiadu	Mynd yn groes i'r gofynion mewn perthynas â dyletswydd unigolyn cyfrifol i adrodd am ddigonolrwydd yr adnoddau, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 43(1) and (2) of the Adoption Services Regulations	Contravention of, or failure to comply with, requirements in relation to the duty of a responsible individual to report on the adequacy of resources	An amount corresponding to two times level 4 on the standard scale

Rheoliad 44(1) o'r Rheoliadau Gwasanaethau Mabwysiadu	Mynd yn groes i'r gofynion mewn perthynas ag unigolyn cyfrifol yn gwneud adroddiadau eraill i'r darparwr gwasanaeth, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 44(1) of the Adoption Services Regulations	Contravention of, or failure to comply with, requirements in relation to the making by a responsible individual of other reports to the service provider	An amount corresponding to two times level 4 on the standard scale
Rheoliad 49(4) o'r Rheoliadau Gwasanaethau Mabwysiadu	Mynd yn groes i'r gofynion mewn perthynas â llunio gan unigolyn cyfrifol adroddiad mewn cysylltiad ag adolygiad o ansawdd y gwasanaeth, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 49(4) of the Adoption Services Regulations	Contravention of, or failure to comply with, requirements in relation to the preparation by a responsible individual of a report in respect of a quality of service review	An amount corresponding to two times level 4 on the standard scale
Rheoliad 50(1) o'r Rheoliadau Gwasanaethau Mabwysiadu	Mynd yn groes i'r gofynion mewn perthynas â llunio gan unigolyn cyfrifol ddatganiad o gydymffurfedd â'r gofynion o ran safonau'r cymorth, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 50(1) of the Adoption Services Regulations	Contravention of, or failure to comply with, requirements in relation to the preparation by a responsible individual of a statement of compliance with the requirements as to standards of support	An amount corresponding to two times level 4 on the standard scale
Rheoliad 53(1) a (3) o'r Rheoliadau Gwasanaethau Mabwysiadu	Mynd yn groes i'r gofynion mewn perthynas â dyletswydd yr unigolyn cyfrifol i wneud hysbysiadau i'r rheoleiddiwr gwasanaethau, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 53(1) and (3) of the Adoption Services Regulations	Contravention of, or failure to comply with requirements in relation to the responsible individual's duty to make notifications to the service regulator	An amount corresponding to two times level 4 on the standard scale

Troseddau rhagnodedig – gwasanaethau lleoli oedolion

Prescribed offences – adult placement services

<i>Y ddarpariaeth sy'n creu'r drosedd</i>	<i>Natur gyffredinol y drosedd</i>	<i>Swm y gosb</i>	<i>Provision creating offence</i>	<i>General nature of the offence</i>	<i>Amount of penalty</i>
Rheoliad 3(3) a (5) o'r Rheoliadau Gwasanaethau Lleoli Oedolion	Mynd yn groes i'r gofyniad i roi hysbysiad o ddiwygiad i'r datganiad o ddiben, neu fethiant i gydymffurfio ag ef	Swm sy'n cyfateb i ddwywaith a hanner lefel 4 ar y raddfa safonol	Regulation 3(3) and (5) of the Adult Placement Services Regulations	Contravention of, or failure to comply with, requirement to give notice of revision to the statement of purpose	An amount corresponding to two and a half times level 4 on the standard scale
Rheoliad 7(3) o'r Rheoliadau Gwasanaethau Lleoli Oedolion	Mynd yn groes i'r gofyniad i ddarparu copïau o'r cyfrifon, neu fethiant i gydymffurfio ag ef	Swm sy'n cyfateb i lefel 4 ar y raddfa safonol	Regulation 7(3) of the Adult Placement Services Regulations	Contravention of, or failure to comply with, requirement to provide copy accounts	An amount corresponding to level 4 on the standard scale
Rheoliad 8(1) o'r Rheoliadau Gwasanaethau Lleoli Oedolion	Mynd yn groes i'r gofynion i gael polisïau a gweithdrefnau penodedig yn eu lle, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i lefel 4 ar y raddfa safonol	Regulation 8(1) of the Adult Placement Services Regulations	Contravention of, or failure to comply with, requirements to have in place specified policies and procedures	An amount corresponding to level 4 on the standard scale
Rheoliad 11(1) o'r Rheoliadau Gwasanaethau Lleoli Oedolion	Mynd yn groes i'r gofynion mewn perthynas â darparu cytundeb gofalwr, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i lefel 4 ar y raddfa safonol	Regulation 11(1) of the Adult Placement Services Regulations	Contravention of, or failure to comply with, requirements in relation to the provision of a carer agreement	An amount corresponding to level 4 on the standard scale
Rheoliad 16(1), (2) a (3) o'r Rheoliadau Gwasanaethau Lleoli Oedolion	Mynd yn groes i'r gofynion mewn perthynas â darparu gwybodaeth am y gwasanaeth, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 16(1), (2) and (3) of the Adult Placement Services Regulations	Contravention of, or failure to comply with, requirements in relation to the provision of information about the service	An amount corresponding to two times level 4 on the standard scale

Rheoliad 28(1) o'r Rheoliadau Gwasanaethau Lleoli Oedolion	Mynd yn groes i'r gofynion mewn perthynas ag addasrwydd staff, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith a hanner lefel 4 ar y raddfa safonol	Regulation 28(1) of the Adult Placement Services Regulations	Contravention of, or failure to comply with, requirements in relation to the fitness of staff	An amount corresponding to two and a half times level 4 on the standard scale
Rheoliad 31(1) o'r Rheoliadau Gwasanaethau Lleoli Oedolion	Mynd yn groes i'r gofynion mewn perthynas â darparu gwybodaeth ar gyfer staff, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 31(1) of the Adult Placement Services Regulations	Contravention of, or failure to comply with, requirements in relation to the provision of information for staff	An amount corresponding to two times level 4 on the standard scale
Rheoliad 40(1) a (2) o'r Rheoliadau Gwasanaethau Lleoli Oedolion	Mynd yn groes i'r gofynion mewn perthynas â chofnodion, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 40 (1) and (2) of the Adult Placement Services Regulations	Contravention of, or failure to comply with, requirements in relation to records	An amount corresponding to two times level 4 on the standard scale
Rheoliad 41(1) a (3) o'r Rheoliadau Gwasanaethau Lleoli Oedolion	Mynd yn groes i'r gofynion mewn perthynas â hysbysiadau, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 41(1) and (3) of the Adult Placement Services Regulations	Contravention of, or failure to comply with, requirements in relation to notifications	An amount corresponding to two times level 4 on the standard scale
Rheoliad 46(1) o'r Rheoliadau Gwasanaethau Lleoli Oedolion	Mynd yn groes i'r gofynion mewn perthynas â dyletswydd unigolyn cyfrifol i benodi rheolwr, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith a hanner lefel 4 ar y raddfa safonol	Regulation 46(1) of the Adult Placement Services Regulations	Contravention of, or failure to comply with, requirements in relation to the duty of a responsible individual to appoint a manager	An amount corresponding to two and a half times level 4 on the standard scale
Rheoliad 53(1) a (2) o'r Rheoliadau Gwasanaethau Lleoli Oedolion	Mynd yn groes i'r gofynion mewn perthynas â dyletswydd unigolyn cyfrifol i adrodd am ddigonolrwydd yr adnoddau, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 53(1) and (2) of the Adult Placement Services Regulations	Contravention of, or failure to comply with, requirements in relation to the duty of a responsible individual to report on the adequacy of resources	An amount corresponding to two times level 4 on the standard scale

Rheoliad 54(1) o'r Rheoliadau Gwasanaethau Lleoli Oedolion	Mynd yn groes i'r gofynion mewn perthynas ag unigolyn cyfrifol yn gwneud adroddiadau eraill i'r darparwr gwasanaeth, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 54(1) of the Adult Placement Services Regulations	Contravention of, or failure to comply with, requirements in relation to the making by a responsible individual of other reports to the service provider	An amount corresponding to two times level 4 on the standard scale
Rheoliad 59(4) o'r Rheoliadau Gwasanaethau Lleoli Oedolion	Mynd yn groes i'r gofynion mewn perthynas â llunio gan unigolyn cyfrifol adroddiad mewn cysylltiad ag adolygiad o ansawdd y gofal, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 59(4) of the Adult Placement Services Regulations	Contravention of, or failure to comply with, requirements in relation to the preparation by a responsible individual of a report in respect of a quality of care review	An amount corresponding to two times level 4 on the standard scale
Rheoliad 60(1) o'r Rheoliadau Gwasanaethau Lleoli Oedolion	Mynd yn groes i'r gofynion mewn perthynas â llunio gan unigolyn cyfrifol ddatganiad o gydymffurfedd â'r gofynion o ran safonau gofal a chymorth, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 60(1) of the Adult Placement Services Regulations	Contravention of, or failure to comply with, requirements in relation to the preparation by a responsible individual of a statement of compliance with the requirements as to standards of care and support	An amount corresponding to two times level 4 on the standard scale
Rheoliad 63(1) a (3) o'r Rheoliadau Gwasanaethau Lleoli Oedolion	Mynd yn groes i'r gofynion mewn perthynas â dyletswydd yr unigolyn cyfrifol i wneud hysbysiadau i'r rheoleiddiwr gwasanaethau, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 63(1) and (3) of the Adult Placement Services Regulations	Contravention of, or failure to comply with requirements in relation to the responsible individual's duty to make notifications to the service regulator	An amount corresponding to two times level 4 on the standard scale

Troseddau rhagnodedig – gwasanaethau eirioli rheoleiddiedig

Prescribed offences – regulated advocacy services

<i>Y ddarpariaeth sy'n creu'r drosedd</i>	<i>Natur gyffredinol y drosedd</i>	<i>Swm y gosb</i>	<i>Provision creating offence</i>	<i>General nature of the offence</i>	<i>Amount of penalty</i>
Rheoliad 4(3) a (5) o'r Rheoliadau Gwasanaethau Eirioli	Mynd yn groes i'r gofyniad i roi hysbysiad o ddiwygiad i'r datganiad o ddiben, neu fethiant i gydymffurfio ag ef	Swm sy'n cyfateb i ddwywaith a hanner lefel 4 ar y raddfa safonol	Regulation 4(3) and (5) of the Advocacy Services Regulations	Contravention of, or failure to comply with, requirement to give notice of revision to the statement of purpose	An amount corresponding to two and a half times level 4 on the standard scale
Rheoliad 8(3) o'r Rheoliadau Gwasanaethau Eirioli	Mynd yn groes i'r gofyniad i ddarparu copiâu o'r cyfrifon, neu fethiant i gydymffurfio ag ef	Swm sy'n cyfateb i lefel 4 ar y raddfa safonol	Regulation 8(3) of the Advocacy Services Regulations	Contravention of, or failure to comply with, requirement to provide copy accounts	An amount corresponding to level 4 on the standard scale
Rheoliad 9(1) o'r Rheoliadau Gwasanaethau Eirioli	Mynd yn groes i'r gofynion i gael polisïau a gweithdrefnau penodedig yn eu lle, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i lefel 4 ar y raddfa safonol	Regulation 9(1) of the Advocacy Services Regulations	Contravention of, or failure to comply with, requirements to have in place specified policies and procedures	An amount corresponding to level 4 on the standard scale
Rheoliad 15(1), (2) a (3) o'r Rheoliadau Gwasanaethau Eirioli	Mynd yn groes i'r gofynion mewn perthynas â darparu gwybodaeth am y gwasanaeth, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 15(1), (2) and (3) of the Advocacy Services Regulations	Contravention of, or failure to comply with, requirements in relation to the provision of information about the service	An amount corresponding to two times level 4 on the standard scale
Rheoliad 24(1) o'r Rheoliadau Gwasanaethau Eirioli	Mynd yn groes i'r gofynion mewn perthynas ag addasrwydd staff, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith a hanner lefel 4 ar y raddfa safonol	Regulation 24(1) of the Advocacy Services Regulations	Contravention of, or failure to comply with, requirements in relation to the fitness of staff	An amount corresponding to two and a half times level 4 on the standard scale

Rheoliad 27(1) o'r Rheoliadau Gwasanaethau Eirioli	Mynd yn groes i'r gofynion mewn perthynas â darparu gwybodaeth ar gyfer staff, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 27(1) of the Advocacy Services Regulations	Contravention of, or failure to comply with, requirements in relation to the provision of information for staff	An amount corresponding to two times level 4 on the standard scale
Rheoliad 31(1) a (2) o'r Rheoliadau Gwasanaethau Eirioli	Mynd yn groes i'r gofynion mewn perthynas â chofnodion, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 31(1) and (2) of the Advocacy Services Regulations	Contravention of, or failure to comply with, requirements in relation to records	An amount corresponding to two times level 4 on the standard scale
Rheoliad 32(1) a (3) o'r Rheoliadau Gwasanaethau Eirioli	Mynd yn groes i'r gofynion mewn perthynas â hysbysiadau, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 32(1) and (3) of the Advocacy Services Regulations	Contravention of, or failure to comply with, requirements in relation to notifications	An amount corresponding to two times level 4 on the standard scale
Rheoliad 37(1) o'r Rheoliadau Gwasanaethau Eirioli	Mynd yn groes i'r gofynion mewn perthynas â dyletswydd unigolyn cyfrifol i benodi rheolwr, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith a hanner lefel 4 ar y raddfa safonol	Regulation 37(1) of the Advocacy Services Regulations	Contravention of, or failure to comply with, requirements in relation to the duty of a responsible individual to appoint a manager	An amount corresponding to two and a half times level 4 on the standard scale
Rheoliad 44(1) a (2) o'r Rheoliadau Gwasanaethau Eirioli	Mynd yn groes i'r gofynion mewn perthynas â dyletswydd unigolyn cyfrifol i adrodd am ddigonolrwydd yr adnoddau, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 44(1) and (2) of the Advocacy Services Regulations	Contravention of, or failure to comply with, requirements in relation to the duty of a responsible individual to report on the adequacy of resources	An amount corresponding to two times level 4 on the standard scale
Rheoliad 45(1) o'r Rheoliadau Gwasanaethau Eirioli	Mynd yn groes i'r gofynion mewn perthynas ag unigolyn cyfrifol yn gwneud adroddiadau eraill i'r darparwr gwasanaeth, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 45(1) of the Advocacy Services Regulations	Contravention of, or failure to comply with, requirements in relation to the making by a responsible individual of other reports to the service provider	An amount corresponding to two times level 4 on the standard scale

Rheoliad 50(4) o'r Rheoliadau Gwasanaethau Eirioli	Mynd yn groes i'r gofynion mewn perthynas â llunio gan unigolyn cyfrifol adroddiad mewn cysylltiad ag adolygiad o ansawdd y gwasanaeth, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 50(4) of the Advocacy Services Regulations	Contravention of, or failure to comply with, requirements in relation to the preparation by a responsible individual of a report in respect of a quality of service review	An amount corresponding to two times level 4 on the standard scale
Rheoliad 51(1) o'r Rheoliadau Gwasanaethau Eirioli	Mynd yn groes i'r gofynion mewn perthynas â llunio gan unigolyn cyfrifol ddatganiad o gydymffurfedd â'r gofynion o ran safonau eiriolaeth, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 51(1) of the Advocacy Services Regulations	Contravention of, or failure to comply with, requirements in relation to the preparation by a responsible individual of a statement of compliance with the requirements as to standards of advocacy	An amount corresponding to two times level 4 on the standard scale
Rheoliad 54(1) a (3) o'r Rheoliadau Gwasanaethau Eirioli	Mynd yn groes i'r gofynion mewn perthynas â dyletswydd yr unigolyn cyfrifol i wneud hysbysiadau i'r rheoleiddiwr gwasanaethau, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 54(1) and (3) of the Advocacy Services Regulations	Contravention of, or failure to comply with requirements in relation to the responsible individual's duty to make notifications to the service regulator	An amount corresponding to two times level 4 on the standard scale

Troseddau rhagnodedig – gwasanaethau maethu rheoleiddiedig

Prescribed offences – regulated fostering services

<i>Y ddarpariaeth sy'n creu'r drosedd</i>	<i>Natur gyffredinol y drosedd</i>	<i>Swm y gosb</i>	<i>Provision creating offence</i>	<i>General nature of the offence</i>	<i>Amount of penalty</i>
Rheoliad 4(3) o'r Rheoliadau Gwasanaethau Maethu	Mynd yn groes i'r gofyniad i roi hysbysiad o ddiwygiad i'r datganiad o ddiben, neu fethiant i gydymffurfio ag ef	Swm sy'n cyfateb i ddwywaith a hanner lefel 4 ar y raddfa safonol	Regulation 4(3) of the Fostering Services Regulations	Contravention of, or failure to comply with, requirement to give notice of revision to the statement of purpose	An amount corresponding to two and a half times level 4 on the standard scale
Rheoliad 8(3) o'r Rheoliadau Gwasanaethau Maethu	Mynd yn groes i'r gofyniad i ddarparu copïau o'r cyfrifon, neu fethiant i gydymffurfio ag ef	Swm sy'n cyfateb i lefel 4 ar y raddfa safonol	Regulation 8(3) of the Fostering Services Regulations	Contravention of, or failure to comply with, requirement to provide copy accounts	An amount corresponding to level 4 on the standard scale
Rheoliad 9(1) o'r Rheoliadau Gwasanaethau Maethu	Mynd yn groes i'r gofynion i gael polisïau a gweithdrefnau penodedig yn eu lle, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i lefel 4 ar y raddfa safonol	Regulation 9(1) of the Fostering Services Regulations	Contravention of, or failure to comply with, requirements to have in place specified policies and procedures	An amount corresponding to level 4 on the standard scale
Rheoliad 12(1), (2) a (3) o'r Rheoliadau Gwasanaethau Maethu	Mynd yn groes i'r gofynion mewn perthynas â darparu gwybodaeth am y gwasanaeth, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 12(1), (2) and (3) of the Fostering Services Regulations	Contravention of, or failure to comply with, requirements in relation to the provision of information about the service	An amount corresponding to two times level 4 on the standard scale
Rheoliad 30(1) o'r Rheoliadau Gwasanaethau Maethu	Mynd yn groes i'r gofynion mewn perthynas ag addasrwydd staff, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith a hanner lefel 4 ar y raddfa safonol	Regulation 30(1) of the Fostering Services Regulations	Contravention of, or failure to comply with, requirements in relation to the fitness of staff	An amount corresponding to two and a half times level 4 on the standard scale

Rheoliad 33(1) o'r Rheoliadau Gwasanaethau Maethu	Mynd yn groes i'r gofynion mewn perthynas â darparu gwybodaeth ar gyfer staff, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 33(1) of the Fostering Services Regulations	Contravention of, or failure to comply with, requirements in relation to the provision of information for staff	An amount corresponding to two times level 4 on the standard scale
Rheoliad 39(1) a (2) o'r Rheoliadau Gwasanaethau Maethu	Mynd yn groes i'r gofynion mewn perthynas â chofnodion, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 39(1) and (2) of the Fostering Services Regulations	Contravention of, or failure to comply with, requirements in relation to records	An amount corresponding to two times level 4 on the standard scale
Rheoliad 40(1), (2), (3), (4), (5) ac (8) o'r Rheoliadau Gwasanaethau Maethu	Mynd yn groes i'r gofynion mewn perthynas â hysbysadau, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 40(1), (2), (3), (4), (5) and (8) of the Fostering Services Regulations	Contravention of, or failure to comply with, requirements in relation to notifications	An amount corresponding to two times level 4 on the standard scale
Rheoliad 50(1) o'r Rheoliadau Gwasanaethau Maethu	Mynd yn groes i'r gofynion mewn perthynas â dyletswydd unigolyn cyfrifol i benodi rheolwr, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith a hanner lefel 4 ar y raddfa safonol	Regulation 50(1) of the Fostering Services Regulations	Contravention of, or failure to comply with, requirements in relation to the duty of a responsible individual to appoint a manager	An amount corresponding to two and a half times level 4 on the standard scale
Rheoliad 57(1) a (2) o'r Rheoliadau Gwasanaethau Maethu	Mynd yn groes i'r gofynion mewn perthynas â dyletswydd unigolyn cyfrifol i adrodd am ddigonolrwydd yr adnoddau, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 57(1) and (2) of the Fostering Services Regulations	Contravention of, or failure to comply with, requirements in relation to the duty of a responsible individual to report on the adequacy of resources	An amount corresponding to two times level 4 on the standard scale
Rheoliad 58(1) o'r Rheoliadau Gwasanaethau Maethu	Mynd yn groes i'r gofynion mewn perthynas ag unigolyn cyfrifol yn gwneud adroddiadau eraill i'r darparwr gwasanaeth, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 58(1) of the Fostering Services Regulations	Contravention of, or failure to comply with, requirements in relation to the making by a responsible individual of other reports to the service provider	An amount corresponding to two times level 4 on the standard scale

Rheoliad 63(4) o'r Rheoliadau Gwasanaethau Maethu	Mynd yn groes i'r gofynion mewn perthynas â llunio gan unigolyn cyfrifol adroddiad mewn cysylltiad ag adolygiad o ansawdd y gofal, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 63(4) of the Fostering Services Regulations	Contravention of, or failure to comply with, requirements in relation to the preparation by a responsible individual of a report in respect of a quality of care review	An amount corresponding to two times level 4 on the standard scale
Rheoliad 64(1) o'r Rheoliadau Gwasanaethau Maethu	Mynd yn groes i'r gofynion mewn perthynas â llunio gan unigolyn cyfrifol ddatganiad o gydymffurfedd â'r gofynion o ran safonau gofal a chymorth, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 64(1) of the Fostering Services Regulations	Contravention of, or failure to comply with, requirements in relation to the preparation by a responsible individual of a statement of compliance with the requirements as to standards of care and support	An amount corresponding to two times level 4 on the standard scale
Rheoliad 67(1) a (4) o'r Rheoliadau Gwasanaethau Maethu	Mynd yn groes i'r gofynion mewn perthynas â dyletswydd yr unigolyn cyfrifol i wneud hysbysiadau i Weinidogion Cymru, neu fethiant i gydymffurfio â hwy	Swm sy'n cyfateb i ddwywaith lefel 4 ar y raddfa safonol	Regulation 67(1) and (4) of the Fostering Services Regulations	Contravention of, or failure to comply with requirements in relation to the responsible individual's duty to make notifications to the Welsh Ministers	An amount corresponding to two times level 4 on the standard scale

©Hawlfrant y Goron 2019

Argraffwyd a chyhoeddwyd yn y Deyrnas Unedig gan The Stationery Office Limited o dan awdurdod ac arolygiaeth Jeff James, Rheolwr Gwasg Ei Mawrhydi ac Argraffydd Deddfau Seneddol y Frenhines.

© Crown copyright 2019

Printed and published in the UK by The Stationery Office Limited under the authority and superintendence of Jeff James, Controller of Her Majesty's Stationery Office and Queen's Printer of Acts of Parliament.

£6.90

W201904251005 05/2019

<http://www.legislation.gov.uk/id/wsi/2019/887>

ISBN 978-0-348-20424-7



9 780348 204247